

# MODULAR LATTICES OVER CYCLOTOMIC FIELDS

EVA BAYER-FLUCKIGER AND IVAN SUAREZ

## INTRODUCTION

This paper is concerned with integral lattices, i.e. finitely generated free abelian groups together with a positive definite integral bilinear form. Among integral lattices, unimodular ones play a very central role. A unimodular lattice is equal to its dual, and the theta series of even unimodular lattices are modular forms for the full modular group. Recently, H.-G. Quebbemann introduced the notion of *modular lattice*, i.e. a lattice which is similar to its dual (see [14]). The theta series of a modular lattice is a modular form for the group  $\Gamma_0(\ell)$  (for some integer  $\ell$ ) which is a subgroup of the full modular group, and is also an eigenform of the Fricke operator (see [14]). H.-G. Quebbemann also observed that if a lattice satisfies a stronger condition (he called such a lattice a *strongly modular lattice*), then its theta series is also an eigenform for the Atkin-Lehner involutions. This led to a definition of analytic extremality for modular and strongly modular lattices (see [17] or [8] for a survey).

An *ideal lattice* is an ideal of a number field  $K$  together with a bilinear form satisfying an invariance relation (see [3], [5]). Ideal lattices correspond bijectively to Arakelov divisors over  $\mathcal{O}_K$  (see [18]). We will investigate here how to use ideal lattices in order to construct modular lattices (similar attempts can be found in [1], [4]). This will lead to the definition of an *Arakelov-modular lattice* on a CM-field  $K$  (see Section 1).

After giving some definitions, notation and basic facts in Sections 1 and 2, we will consider in Section 3 the CM-fields which contain an Arakelov-modular lattice. We will show that any Arakelov-modular lattice over a cyclotomic field is strongly modular (see Corollary 3.6). Then, fixing an integer  $\ell$ , we will characterise all cyclotomic fields in which there exists an Arakelov-modular lattice of level  $\ell$  (see Propositions 3.7 and 3.8 for the trace type case, and Proposition 3.13 or Theorem 3.14 for the general case). In Section 4, for a given CM-field  $K$  and a given Arakelov-modular lattice over  $K$ , we will give all the Arakelov-modular lattices of the same level which can be constructed over  $K$  (see Proposition 4.2). The last section contains some examples of modular lattices whose similarity is induced by the action of the Galois group of a Galois extension.

---

The authors gratefully acknowledge support from the Swiss National Science Foundation, grant No 200021-101918/1.

## 1. DEFINITIONS AND NOTATION

**1.1. Modular lattices.** A lattice is a pair  $(L, b)$ , where  $L$  is a free  $\mathbb{Z}$ -module of finite rank, and  $b : L_{\mathbb{R}} \times L_{\mathbb{R}} \rightarrow \mathbb{R}$  is a definite positive symmetric bilinear form (with  $L_{\mathbb{R}} = L \otimes_{\mathbb{Z}} \mathbb{R}$ ). We often write  $L$  instead of  $(L, b)$ . Let  $L^* = \{x \in L_{\mathbb{R}} : b(x, L) \subseteq \mathbb{Z}\}$  be the dual lattice of  $L$ . The lattice  $(L, b)$  is called *integral* (resp. *unimodular*) if  $L \subseteq L^*$  (resp. if  $L = L^*$ ). We say that a lattice  $(L, b)$  is *even* if this lattice is integral and if  $b(x, x)$  is even for all  $x \in L$ .

From now on, lattice will mean even lattice. Let  $a$  be a positive constant. The lattice  ${}^aL$  will denote the rescaled lattice  $(L, ab)$ . Let  $\ell$  be a positive integer, and let  $m$  be a divisor of  $\ell$ . We will say that  $m$  is an *exact divisor* of  $\ell$  (notation :  $m \parallel \ell$ ) if  $\ell = mm'$ , where  $m$  and  $m'$  are coprime integers. For any exact divisor  $m$  of  $\ell$ , we define  $L^{*m} = \frac{1}{m}L \cap L^*$ .

**Definition 1.1.** A lattice  $(L, b)$  is said to be  $\ell$ -*modular* (or *modular of level*  $\ell$ ) if the lattices  $L$  and  ${}^{\ell}L^*$  are isomorphic.

A lattice  $(L, b)$  is said to be *strongly modular* if  $L \cong {}^m(L^{*m})$  for all  $m \parallel \ell$ .

**1.2. Ideal lattices.** Let  $K$  be a CM-field and  $F$  be the maximal real subfield of  $K$ . Let  $\mathcal{O}_K$  denote the ring of integers of  $K$ . An *ideal lattice* is a lattice  $(\mathcal{I}, b)$ , where  $\mathcal{I}$  is a fractional  $\mathcal{O}_K$ -ideal and  $b : \mathcal{I} \times \mathcal{I} \rightarrow \mathbb{R}$  is such that  $b(\lambda x, y) = b(x, \bar{\lambda}y)$  for all  $x, y \in \mathcal{I}$  and for all  $\lambda \in \mathcal{O}_K$ . This definition is equivalent to saying that there exists a totally positive  $\alpha \in F_{\mathbb{R}} (= F \otimes \mathbb{R})$  such that  $b(x, y) = \mathbf{Tr}(\alpha x \bar{y})$  (cf. [5], Proposition 1). An ideal lattice  $(\mathcal{I}, b)$  satisfying  $b(x, y) = \mathbf{Tr}(\alpha x \bar{y})$  will be denoted  $(\mathcal{I}, \alpha)$ . From now on, we will only deal with ideal lattices  $(\mathcal{I}, \alpha)$  which are integral and where  $\alpha \in F$ . Recall that the dual lattice of an ideal lattice  $(\mathcal{I}, \alpha)$  is  $\mathcal{I}^* = \alpha^{-1} \mathcal{D}_K^{-1} \bar{\mathcal{I}}^{-1}$ , where  $\mathcal{D}_K$  is the different of  $K/\mathbb{Q}$ . If 2 does not ramify in  $K/F$ , then any integral ideal lattice over  $K$  is even (see [6], Proposition 3.1). The reader can refer to [3] and [5] to learn about basic facts concerning ideal lattices.

Let  $(\mathcal{I}, \alpha)$  and  $(\mathcal{I}', \alpha')$  be two ideal lattices. We say that these two lattices are *Arakelov-equivalent*, and we write  $(\mathcal{I}, \alpha) \cong_A (\mathcal{I}', \alpha')$ , if there exists  $\beta \in K^{\times}$  such that  $\mathcal{I}' = \beta \mathcal{I}$  and  $\alpha' = (\beta \bar{\beta})^{-1} \alpha$ . Notice that two ideal lattices are Arakelov-equivalent if and only if the corresponding Arakelov divisors are in the same class in the Arakelov class group (see [18], sections 2 and 4).

**Definition 1.2.** Let  $(\mathcal{I}, \alpha)$  be an ideal lattice over  $K$ . We say that  $(\mathcal{I}, \alpha)$  is *Arakelov-modular* of level  $\ell$  if  $(\mathcal{I}, \alpha) \cong_A (\mathcal{I}^*, \ell \alpha)$ . Moreover, if  $(\mathcal{I}, \alpha) \cong_A (\mathcal{I}^{*m}, m \alpha)$  for all  $m \parallel \ell$ , the lattice  $(\mathcal{I}, \alpha)$  is said to be *strongly Arakelov-modular* of level  $\ell$ .

It is easy to see that Arakelov-modular lattices (resp. strongly Arakelov-modular lattices) are modular (resp. strongly modular).

## 2. GOOD DIVISORS IN CYCLOTOMIC FIELDS

In this section, we introduce the notion of *good divisor* which will be needed in the sequel. Let  $K$  be a CM-field, and  $F$  be the maximal totally

real subfield of  $K$ . Throughout this section, we will suppose that at most one finite prime is ramified in  $K/F$  ( $K/F$  ramifies of course at all the infinite primes).

**Proposition 2.1.** *Let  $p \in \mathbb{Z}$  be a prime number which does not ramify in  $K/\mathbb{Q}$ . Then  $p$  is a norm of  $K/F$  if and only if the prime ideals  $\mathfrak{P}$  of  $K$  above  $p$  satisfy  $\mathfrak{P} \neq \overline{\mathfrak{P}}$ .*

*Proof.* Assume that all prime ideals  $\mathfrak{P}$  above  $p$  satisfy  $\overline{\mathfrak{P}} \neq \mathfrak{P}$ . Assume first that  $K/F$  is unramified at all finite primes. In that case, we will show that  $p$  is a local norm at each prime. Actually, since  $p$  is totally positive, it is a local norm at the infinite primes. If  $\mathfrak{q}$  is a prime ideal of  $F$  relatively prime to  $p$ , then  $p$  is a unit at  $\mathfrak{q}$ . But the extension  $K_{\Omega}/F_{\mathfrak{q}}$  is unramified so  $p$  is a local norm at  $\Omega$ . If  $\mathfrak{p}$  is a prime ideal of  $F$  above  $p$ , then  $[K_{\mathfrak{P}} : F_{\mathfrak{p}}] = 1$  since  $\overline{\mathfrak{P}} \neq \mathfrak{P}$ . Therefore  $p$  is a local norm at each prime, and the Hasse Norm theorem gives us that  $p$  is a norm of  $K/F$ . Assume now that  $K/F$  is ramified at one prime. It only remains to check whether  $p$  is a local norm at the ramified finite prime, but this is given by the Hilbert reciprocity law.

Conversely, take  $\mathfrak{P}$  a prime ideal over  $p$  such that  $\mathfrak{P} = \overline{\mathfrak{P}}$ . Let  $N_{K_{\mathfrak{P}}/F_{\mathfrak{P}}} : K_{\mathfrak{P}} \rightarrow F_{\mathfrak{P}}$  be the local norm at  $\mathfrak{P}$  and  $U_{\mathfrak{P}}$  be the group of units of  $F_{\mathfrak{P}}$ . Then  $K_{\mathfrak{P}}/F_{\mathfrak{P}}$  is unramified of degree 2, hence  $N_{K_{\mathfrak{P}}/F_{\mathfrak{P}}}(K_{\mathfrak{P}}^{\times}) = U_{\mathfrak{P}}(K_{\mathfrak{P}}^{\times})^2$ , where  $(K_{\mathfrak{P}}^{\times})^2 = \{x^2 : x \in K_{\mathfrak{P}}^{\times}\}$ . Since the extension  $K_{\mathfrak{P}}/\mathbb{Q}_p$  is unramified,  $p$  is a prime element in  $K_{\mathfrak{P}}$  and does not belong to  $U_{\mathfrak{P}}(K_{\mathfrak{P}}^{\times})^2$ . Therefore  $p$  is not a norm of  $K/F$ . This concludes the proof.  $\square$

From now on  $K$  will be a *cyclotomic field*,  $K = \mathbb{Q}(\zeta_n)$ , where  $\zeta_n$  is a primitive  $n^{\text{th}}$  root of unity. In this case,  $F = \mathbb{Q}(\zeta_n + \zeta_n^{-1})$ . We can assume that  $n$  is odd or  $4|n$ . The cyclotomic field  $K$  satisfies the assumptions we made at the beginning of this section, but there is a condition easier to check in this case.

**Proposition 2.2.** *Let  $p$  be a prime number not dividing  $n$ . Let  $f = f_{K/\mathbb{Q}}$  be the residue class degree of  $p$  in  $K/\mathbb{Q}$  (i.e.  $f = \min\{f' : p^{f'} \equiv 1 \pmod{n}\}$ ). Then  $p$  is a norm of  $K/F$  if and only if one of the following is true :*

- (1)  $f$  is odd,
- (2)  $f$  is even and  $p^{\frac{f}{2}} \not\equiv -1 \pmod{n}$ .

*Proof.* We can apply Proposition 2.1, so this last proposition is equivalent to checking whether the canonical involution is in the decomposition group of  $\mathfrak{P}$  over  $\mathbb{Q}$ . Recall that this decomposition group is defined as  $\{\sigma \in \mathbf{Gal}(K/\mathbb{Q}) : \mathfrak{P}^{\sigma} = \mathfrak{P}\}$ , we call it  $\mathfrak{D}_{\mathfrak{P}}$ . The prime  $\mathfrak{P}$  is not ramified in  $K/\mathbb{Q}$  because  $p \nmid n$ , so  $\mathfrak{D}_{\mathfrak{P}}$  is cyclic generated by the Frobenius element  $\sigma_{\mathfrak{P}}$ . Recall that the canonical involution acts on  $\zeta_n$  as  $\overline{\zeta_n} = \zeta_n^{-1}$ . The only element of order 2 of  $\mathfrak{D}_{\mathfrak{P}}$  is  $\sigma_{\mathfrak{P}}^{\frac{f}{2}}$  when  $f$  is even, and  $\mathfrak{D}_{\mathfrak{P}}$  does not contain any element of order 2 when  $f$  is odd. Therefore  $p$  is not a norm of  $K/F$  if and only if  $f$  is even

and

$$\zeta_n^{p^{\frac{f}{2}}} = \sigma_{\mathfrak{P}}^{\frac{f}{2}}(\zeta_n) = \zeta_n^{-1}$$

if and only if  $f$  is even and  $p^{\frac{f}{2}} \equiv -1 \pmod{n}$ . Hence the proposition is proved.  $\square$

We next introduce the notion of good divisor. Let  $K = \mathbb{Q}(\zeta_n)$  be a cyclotomic field ( $n \not\equiv 2 \pmod{4}$ ), and  $p$  be a prime number dividing  $n$ .

**Definition 2.3.** Let  $p$  be a prime number dividing  $n$  and let  $K = \mathbb{Q}(\zeta_n)$ . The prime  $p$  is a *good divisor* of  $n$  if the different  $\mathcal{D}_K$  of  $K/\mathbb{Q}$  can be written  $\mathcal{D}_K = \mathcal{I}\mathcal{J}\bar{\mathcal{J}}$ , where  $\mathcal{J}$  is a  $K$ -ideal over  $p$  and  $\mathcal{I}$  is an ideal relatively prime to  $p$ .

An integer  $m$  is *good* for  $n$  if all the primes dividing  $m$  are good divisors of  $n$ .

**Proposition 2.4.** Let  $p$  be a prime number dividing  $n$ . Write  $n = p^r n'$ , where  $p$  and  $n'$  are relatively prime.

- (1) If  $p$  is odd, then  $p$  is a good divisor of  $n$  if and only if  $p$  is a norm of  $\mathbb{Q}(\zeta_{n'})/\mathbb{Q}(\zeta_{n'} + \zeta_{n'}^{-1})$ .
- (2) If  $p = 2$ , then  $p$  is always a good divisor of  $n$ .

*Proof.* Let  $K' = \mathbb{Q}(\zeta_{n'})$  and  $F' = \mathbb{Q}(\zeta_{n'} + \zeta_{n'}^{-1})$ . If  $p$  is a norm of  $K'/F'$ , then  $p$  is a good divisor of  $n$ . Conversely, assume  $p$  is odd, and  $p$  is a good divisor of  $n$ . Let  $\mathfrak{P}$  be an ideal of  $K$  above  $p$  and denote  $v_{\mathfrak{P}}$  the valuation at  $\mathfrak{P}$ . We have  $v_{\mathfrak{P}}(\mathcal{D}_K) = p^{r-1}(pr - r - 1)$ , so if we write  $\mathcal{D}_K = \mathcal{I}\mathcal{J}\bar{\mathcal{J}}$ , then  $v_{\mathfrak{P}}(\mathcal{J}\bar{\mathcal{J}}) = p^{r-1}(pr - r - 1)$  is odd. Therefore  $\mathfrak{P} \neq \bar{\mathfrak{P}}$  and  $\mathfrak{P}' \neq \bar{\mathfrak{P}'}$ , if  $\mathfrak{P}' = \mathfrak{P} \cap K'$ , since  $\mathfrak{P}$  is totally ramified in  $K/K'$ . Hence  $p$  is a norm of  $K'/F'$ .

Assume now  $p = 2$ , so  $r \geq 2$ . If  $\mathfrak{q}$  is a prime ideal of  $\mathbb{Q}(\zeta_{2^r})$  above 2, then we can write  $\mathcal{D}_{\mathbb{Q}(\zeta_{2^r})} = \mathfrak{q}^{2^{r-2}(r-1)}\bar{\mathfrak{q}}^{2^{r-2}(r-1)}$ . Since  $\mathcal{D}_K = \mathcal{D}_{\mathbb{Q}(\zeta_{2^r})}\mathcal{D}_{K'}$ , we find that 2 is a good divisor of  $n$ . This completes the proof.  $\square$

**Corollary 2.5.** Let  $p$  be an odd prime number dividing  $n$ . Write  $n = p^r n'$ , where  $\gcd(p, n') = 1$ . Let  $f = \min\{f' : p^{f'} \equiv 1 \pmod{n'}\}$ . Then  $p$  is a good divisor of  $n$  if and only if one of the following is true :

- (1)  $f$  is odd,
- (2)  $f$  is even and  $p^{\frac{f}{2}} \not\equiv -1 \pmod{n'}$ .

### 3. EXISTENCE RESULTS

The aim of this section is to give existence conditions of Arakelov-modular lattices on a CM-field  $K$ . We keep the notations of section 1.

**Proposition 3.1.** There exists an Arakelov-modular lattice  $(\mathcal{I}, \alpha)$  of level  $\ell$  over  $K$  if and only if  $\ell$  is a norm of  $K/F$  and if there exists a fractional ideal  $\mathfrak{a}$  of  $K$  such that  $\alpha^{-1}\lambda\mathcal{D}_K^{-1} = \mathfrak{a}\bar{\mathfrak{a}}$ , where  $\ell = \lambda\bar{\lambda}$ ,  $\lambda \in K$ .

*Proof.* Let  $\mathcal{D}_K$  be the different of  $K/\mathbb{Q}$ . Recall that the dual lattice of  $(\mathcal{I}, \alpha)$  is  $(\mathcal{I}^*, \alpha)$ , where  $\mathcal{I}^* = \alpha^{-1}\mathcal{D}_K^{-1}\bar{\mathcal{I}}^{-1}$ . Assume first that the lattice  $(\mathcal{I}, \alpha)$  is Arakelov-modular of level  $\ell$ . Take  $\beta \in K^*$  such that  $(\mathcal{I}, \alpha) = (\beta\mathcal{I}^*, \ell\alpha(\beta\bar{\beta})^{-1})$ . Hence  $\ell = \beta\bar{\beta}$ , and  $\beta\mathcal{O}_K = \alpha\bar{\mathcal{I}}\mathcal{D}_K$ . If we let  $\mathfrak{a} = \mathcal{I}$  and  $\lambda = \beta$ , then these are the conditions required.

Conversely, take an ideal  $\mathfrak{a}$  and an element  $\lambda \in K^*$  satisfying the conditions of the proposition. Let's show that the ideal lattice  $(\mathfrak{a}, \alpha)$  is Arakelov-modular. Notice first that we have  $(\mathfrak{a}^*, \ell\alpha) = (\lambda^{-1}\mathfrak{a}, \lambda\bar{\lambda}\alpha)$ , so it remains to prove that  $(\mathfrak{a}, \alpha)$  is integral. Note then that  $\lambda$  is an integer. This follows from the equality  $\lambda\mathcal{O}_K = \alpha\mathcal{D}_K\mathfrak{a}\bar{\mathfrak{a}}$  which implies that for all prime ideal  $\mathfrak{P}$  of  $K$ , we have  $v_{\mathfrak{P}}(\lambda) = v_{\mathfrak{P}}(\alpha)$ . So  $v_{\mathfrak{P}}(\lambda) = \frac{1}{2}v_{\mathfrak{P}}(\ell) \geq 0$  for all finite prime  $\mathfrak{P}$  of  $K$ , and  $\lambda$  is an integer. This implies that  $\mathfrak{a} = \lambda\mathfrak{a}^* \subseteq \mathfrak{a}^*$ , i.e. that  $(\mathfrak{a}, \alpha)$  is integral.  $\square$

The next result asserts that we can restrict ourselves to finding Arakelov-modular lattices of level  $\ell$  where  $\ell$  is a square-free integer.

**Proposition 3.2.** *Let  $K$  be a CM-field, and  $\ell$  be an integer. Assume there is an Arakelov-modular lattice  $(\mathcal{I}, \alpha)$  of level  $\ell$  over  $K$ . Write  $\ell = \ell_1\ell_2^2$ , where  $\ell_1$  is square-free. Then the rescaled lattice  $(\mathcal{I}, \ell_2^{-1}\alpha)$  is integral and is an Arakelov-modular lattice of level  $\ell_1$ .*

*Proof.* Let  $\mathcal{I}^*$  be the dual of  $(\mathcal{I}, \alpha)$ . Then  $\ell_2\mathcal{I}^*$  is the dual lattice of  $(\mathcal{I}, \ell_2^{-1}\alpha)$ . Take  $\lambda \in \mathcal{O}_K$  such that  $\lambda\bar{\lambda} = \ell$  and  $(\mathcal{I}, \alpha) = (\lambda\mathcal{I}^*, (\lambda\bar{\lambda})^{-1}\ell\alpha)$ . Define  $\lambda_1 = \lambda/\ell_2$ . Then  $\lambda_1\bar{\lambda}_1 = \ell_1$  and  $\lambda_1\ell_2\alpha^{-1}\mathcal{D}_K^{-1} = \bar{\mathcal{I}}\mathcal{I}$ . Proposition 3.1 completes the proof because it tells us that the lattice  $(\mathcal{I}, \ell_2^{-1}\alpha)$  is Arakelov-modular of level  $\ell_1$ .  $\square$

From now on,  $\ell$  will always denote a square-free integer. We state now a result similar as the one in Proposition 3.1 concerning strongly Arakelov-modular lattices.

**Proposition 3.3.** *Let  $K$  be a CM-field and let  $\ell$  be a square-free integer. Let  $(\mathcal{I}, \alpha)$  be an Arakelov-modular lattice of level  $\ell$  over  $K$ . Let  $\lambda \in \mathcal{O}_K$  be such that  $\lambda\mathcal{I}^* = \mathcal{I}$  and  $\lambda\bar{\lambda} = \ell$ . Assume that for each  $m|\ell$ , there is an integer  $\beta_m \in \mathcal{O}_K$  such that :*

- (1)  $\beta_m\bar{\beta}_m = m$ ,
- (2)  $\beta_m\beta_{\ell/m} = \lambda$ .

*Then the lattice  $(\mathcal{I}, \alpha)$  is strongly Arakelov-modular of level  $\ell$  over  $K$ .*

*Proof.* Let  $m|\ell$ , and let  $\mathcal{J} = \mathcal{I}^{*m}$ . We have  $\mathcal{J} = \mathcal{I}^* \cap (\frac{1}{m}\mathcal{I}) = (\lambda^{-1}\mathcal{I}) \cap (\frac{1}{m}\mathcal{I})$ . Hence  $\mathcal{J} = \mathcal{I}(\lambda^{-1}\mathcal{O}_K \cap m^{-1}\mathcal{O}_K) = \mathcal{I}(\lambda\mathcal{O}_K + m\mathcal{O}_K)^{-1}$ . However, since  $\bar{\beta}_m$  and  $\beta_{\ell/m}$  are relatively prime, we have  $\lambda\mathcal{O}_K + m\mathcal{O}_K = \beta_m\beta_{\ell/m}\mathcal{O}_K + \beta_m\bar{\beta}_m\mathcal{O}_K = \beta_m\mathcal{O}_K$ . Finally, we check that  $\mathcal{J} = \mathcal{I}\beta_m^{-1}$  and  $(\mathcal{I}, \alpha) = (\beta_m\mathcal{J}, (\beta_m\bar{\beta}_m)^{-1}m\alpha)$ . This equality shows that  $(\mathcal{I}, \alpha) \cong_A (\mathcal{I}^{*m}, m\alpha)$  and thus completes the proof.  $\square$

Assume the condition of Proposition 3.1. Take an ideal  $\mathfrak{a}$  of  $K$  such that  $\lambda\mathcal{O}_K = \alpha\mathfrak{a}\bar{\alpha}\mathcal{D}_K$ . The ideal  $\lambda\mathcal{O}_K$  is therefore invariant under the canonical involution. Hence  $u = \lambda/\bar{\lambda}$  is a unit for which all real and complex embeddings have norm 1, i.e.  $u$  is a root of unity. Let  $n$  be the order of  $u$ . Assume first that  $n \not\equiv 0 \pmod{4}$ . In this case, either  $u$  or  $-u$  is a square in  $\mathcal{O}_K^\times$  (in fact, if  $u$  is of odd order, then  $u = u^{n+1} = v^2$  with  $v = u^{\frac{n+1}{2}}$ , whereas if  $u$  is of even order, then  $-u$  is of odd order). Hence either  $\ell$  or  $-\ell$  is then a square in  $K$ , and any prime dividing  $\ell$  must ramify with an even ramification index in the extension  $K/\mathbb{Q}$ . Assume now that  $n \equiv 0 \pmod{4}$ . As previously, we can remove the part which is prime to 2 in  $n$ . Therefore we have just proved the following :

**Proposition 3.4.** *Let  $K$  be a CM field. Assume that  $(\mathcal{I}, \alpha)$  is an Arakelov-modular lattice of level  $\ell$ . Then there exists  $\lambda \in K$  and a  $2^r$ -th root of unity  $\zeta \in K$  such that :*

- $\lambda^2 = \zeta\ell$ ,
- $\lambda\mathcal{I}^* = \mathcal{I}$ .

**Remark 3.5.** In particular, if  $\sqrt{-1} \notin K$ , we must have either  $\sqrt{\ell} \in K$ , or  $\sqrt{-\ell} \in K$ .

**Corollary 3.6.** *Every Arakelov-modular lattice of level  $\ell$  over a cyclotomic field is strongly Arakelov-modular.*

*Proof.* Let  $K$  be a cyclotomic field and let  $(\mathcal{I}, \alpha)$  be an Arakelov-modular lattice of level  $\ell$  over  $K$ . Let  $\lambda$  be such that  $\lambda^2 = \varepsilon\ell$ , with  $\varepsilon \in \{\pm 1, \pm i\}$  (here,  $i = \sqrt{-1}$ ). Proposition 3.4 tells us that  $\lambda\mathcal{I}^* = \mathcal{I}$ . Define  $\beta_\ell = \lambda$ . By Proposition 3.4, all the primes dividing  $\ell$  must ramify in  $K$ , so for each  $m \parallel \ell$ , either  $m$  or  $-m$  must be a square in  $K$  if  $m$  is odd, and either  $im$  or  $-im$  must be a square if  $m$  is even. Moreover, we can choose a family  $(\beta_m)_{m \parallel \ell}$  of integers of  $K$  such that  $\beta_m^2 = i^{e(m)}m$  for some  $e(m) \in \mathbb{Z}$  and such that this family satisfies the second condition of Proposition 3.3. Therefore the lattice  $(\mathcal{I}, \alpha)$  is strongly Arakelov-modular, and the proposition is proved.  $\square$

Assume now that  $K = \mathbb{Q}(\zeta_n)$  is a cyclotomic field in which all the primes dividing  $\ell$  ramify. Let  $\lambda \in K$  be such that  $\lambda^2 = \varepsilon\ell$  (where  $\varepsilon \in \{\pm 1, \pm i\}$ ).

Our first result concerns the existence of Arakelov-modular lattices of trace type over cyclotomic fields. Define  $\ell_1, \ell_2 \in \mathbb{Z}$  in the following way :

- $\ell = \ell_1\ell_2$ ,
- if  $n$  is odd or divisible by 8, let  $\ell_1$  be the product of the primes dividing  $\ell$  congruent to 1 modulo 4,
- if  $n \equiv 4 \pmod{8}$ , let  $\ell_2$  be the product of the primes dividing  $\ell$  congruent to 3 modulo 4.

We also define  $n'$  as the greatest divisor of  $n$  prime to  $\ell$  (recall that we are assuming  $\ell$  to be square-free).

**Proposition 3.7.** *With the above assumptions, there exists an Arakelov-modular lattice of level  $\ell$  and of trace type over  $K = \mathbb{Q}(\zeta_n)$  if and only if the three following conditions are satisfied :*

- (i)  $\ell$  divides  $n$ ,
- (ii)  $\ell_1$  and  $n'$  are good for  $n$  and
- (iii) if  $\ell_1$  is even, 2 is a norm of  $\mathbb{Q}(\zeta_{\frac{n}{4}})/\mathbb{Q}(\zeta_{\frac{n}{4}} + \zeta_{\frac{n}{4}}^{-1})$ .

This last proposition generalizes Propositions 5 and 6 of [4].

*Proof.* For a prime ideal  $\mathfrak{P}$  in  $K$ ,  $v_{\mathfrak{P}}$  will denote the valuation at  $\mathfrak{P}$ . Let  $(\mathcal{I}, 1)$  be an Arakelov-modular lattice of level  $\ell$  of  $K$ . By Proposition 3.4, all the primes dividing  $\ell$  ramify in  $K/\mathbb{Q}$ , so  $\ell|n$ . Take  $\lambda \in K$  such that  $(\mathcal{I}, 1) = (\lambda\mathcal{I}^*, (\lambda\bar{\lambda})^{-1}\ell)$ . We can assume that  $\lambda^2 = \varepsilon\ell$ , with  $\varepsilon \in \{\pm 1, \pm i\}$ . Using the fact that  $\mathcal{I}^* = \mathcal{D}_K^{-1}\bar{\mathcal{I}}^{-1}$ , we find that  $\lambda\mathcal{O}_K = \mathcal{D}_K\mathcal{I}\bar{\mathcal{I}}$ . Let  $\mathfrak{P}$  be a prime ideal of  $K$  dividing  $\ell_1$  and let  $p$  be the prime of  $\mathbb{Z}$  under  $\mathfrak{P}$ . If  $p$  is odd, then  $v_{\mathfrak{P}}(\lambda) = \frac{1}{2}v_{\mathfrak{P}}(\ell)$ , but  $p \equiv 1 \pmod{4}$ , hence  $v_{\mathfrak{P}}(\lambda) \equiv 0 \pmod{4}$ , and  $v_{\mathfrak{P}}(\lambda) \equiv 0 \pmod{2}$ . In this case,  $v_{\mathfrak{P}}(\mathcal{D}_K)$  is odd, and  $v_{\mathfrak{P}}(\lambda\mathcal{D}_K^{-1})$  is also odd. If  $p = 2$ , then  $n \equiv 4 \pmod{8}$  and  $v_{\mathfrak{P}}(\lambda) = 1$ . Since  $v_{\mathfrak{P}}(\mathcal{D}_K^{-1})$  is even,  $v_{\mathfrak{P}}(\lambda\mathcal{D}_K^{-1})$  is also odd. If  $\mathfrak{P}$  is a prime dividing  $n'$ , then  $v_{\mathfrak{P}}(\lambda) = 0$ , and  $v_{\mathfrak{P}}(\mathcal{D}_K)$  is odd (unless  $\mathfrak{P}$  is above 2, but in this case 2 is a good divisor of  $n$ ). So when  $\mathfrak{P}$  is a prime ideal dividing  $\ell_1 n'$ ,  $v_{\mathfrak{P}}(\lambda\mathcal{D}_K^{-1})$  is odd. Hence we must have  $v_{\mathfrak{P}}(\mathcal{I}) \neq v_{\mathfrak{P}}(\bar{\mathcal{I}})$ , i.e.  $\mathfrak{P} \neq \bar{\mathfrak{P}}$ . This shows that  $\ell_1 n'$  must be good for  $n$  and that 2 must be a norm of  $\mathbb{Q}(\zeta_{\frac{n}{4}})/\mathbb{Q}(\zeta_{\frac{n}{4}} + \zeta_{\frac{n}{4}}^{-1})$  whenever  $2|\ell$  and  $n \equiv 4 \pmod{8}$ .

Conversely, finding an Arakelov-modular lattice of level  $\ell$  and of trace type over  $K$  is equivalent to finding a decomposition  $\lambda\mathcal{D}_K^{-1} = \mathcal{I}\bar{\mathcal{I}}$ , where  $\lambda^2 = \varepsilon\ell$  ( $\varepsilon \in \{\pm 1, \pm i\}$ ). Such a decomposition is possible if and only if  $v_{\mathfrak{P}}(\lambda\mathcal{D}_K^{-1})$  is even whenever  $\mathfrak{P} = \bar{\mathfrak{P}}$ . If possible, take a prime ideal  $\mathfrak{P}$  such that  $v_{\mathfrak{P}}(\lambda\mathcal{D}_K^{-1})$  is odd and  $\mathfrak{P} = \bar{\mathfrak{P}}$ . The hypothesis on  $\ell$  and on  $\ell_1 n'$  implies that  $\mathfrak{P}$  divides  $n$  and  $\mathfrak{P}$  does not divide  $\ell_1 n'$ . So  $\mathfrak{P}$  must divide  $\ell_2$ . Let  $p$  be a prime of  $\mathbb{Z}$  under  $\mathfrak{P}$ , and assume first that  $p$  is odd. Then  $p \equiv 3 \pmod{4}$  and  $v_{\mathfrak{P}}(\lambda) = \frac{1}{2}v_{\mathfrak{P}}(\ell)$  is odd. Moreover  $v_{\mathfrak{P}}(\mathcal{D}_K^{-1})$  is also odd, and  $v_{\mathfrak{P}}(\lambda\mathcal{D}_K^{-1})$  is even, which leads to a contradiction. Assume now that  $p = 2$ . In this case,  $v_{\mathfrak{P}}(\lambda)$  is even because we are assuming that  $8|n$ , and  $v_{\mathfrak{P}}(\mathcal{D}_K^{-1})$  is also even, which contradicts the fact that  $v_{\mathfrak{P}}(\lambda\mathcal{D}_K^{-1})$  is odd. This completes the proof.  $\square$

We can rewrite the preceding proposition in the following way. For an integer  $n$  and a prime number  $p$ , we define  $n_p$  to be the greatest integer dividing  $n$  prime to  $p$ , and we define  $f_p = [\mathbb{Z}[\zeta_{n_p}]/\mathfrak{P} : \mathbb{Z}/p]$ , where  $\mathfrak{P}$  is any prime ideal of  $\mathbb{Z}[\zeta_{n_p}]$  above  $p$ .

**Proposition 3.8.** *Let  $n$  be an integer and  $\ell$  be a square-free integer dividing  $n$ . There exists an Arakelov-modular lattice of level  $\ell$  and of trace type over  $\mathbb{Q}(\zeta_n)$  if and only if the three following conditions are satisfied :*



- (i) for all  $p|\ell$ ,  $p \equiv 1 \pmod{4}$ , we have either  $f_p$  is odd or  $p^{\frac{f_p}{2}} \not\equiv -1 \pmod{n_p}$ ,
- (ii) for all  $p|n$ ,  $p \nmid \ell$ , we have either  $p = 2$ , or  $f_p$  is odd or  $p^{\frac{f_p}{2}} \not\equiv -1 \pmod{n_p}$ ,
- (iii) if  $\ell$  is even and  $n \equiv 4 \pmod{8}$ , then we have either  $f_2$  is odd or  $2^{\frac{f_2}{2}} \not\equiv -1 \pmod{n_2}$ .

In particular, we can apply the preceding proposition to the unimodular case, by taking  $\ell = 1$ .

**Corollary 3.9.** *There exists a unimodular lattice of trace type over  $\mathbb{Q}(\zeta_n)$  if and only if for all  $p|n$ , we have either  $p = 2$ , or  $f_p$  is odd or  $p^{\frac{f_p}{2}} \not\equiv -1 \pmod{n_p}$ .*

**Example 3.10.** Let  $K = \mathbb{Q}(\zeta_{21})$  be the cyclotomic field generated by a 21<sup>st</sup> root of unity. Let  $\ell = 3$ . With the notations of Proposition 3.7, we have  $\ell_1 = 1$  and  $n' = 7$ . We see that  $7 \equiv 1 \pmod{3}$ , so 7 is a good divisor of 21. Moreover, 1 is a good divisor of any integer. Hence according to Proposition 3.7 there exists an Arakelov-modular lattice of level 3 and of trace type over  $K$  which is 12-dimensional. A computation in PARI/GP shows that the Arakelov-modular lattice obtained in this way is of minimum 4, and is therefore extremal. Since the class number of  $K$  is one, this is the only Arakelov-modular lattice of level 3 in  $K$  (cf Propostion 4.2). This lattice is the Coxeter-Todd lattice because it is the only extremal 3-modular lattice in dimension 12. Note that this construction is given in [6].

**Example 3.11.** Let  $K = \mathbb{Q}(\zeta_{28})$  be the cyclotomic field generated by a 28<sup>th</sup> root of unity. Let  $\ell = 14$ . 2 is a norm of  $\mathbb{Q}(\zeta_7)/\mathbb{Q}(\zeta_7 + \zeta_7^{-1})$  and 7 is a good divisor of 28, so Proposition 3.7 gives us an Arakelov-modular lattice of level 14 and of trace type over  $K$  which is 12-dimensional. A computation in PARI/GP shows that this lattice is of minimum 8, therefore it is an extremal lattice. Since the class number of  $K$  is one, there is only one Arakelov-modular lattice of level 14 in  $K$  (cf Propostion 4.2). This lattice is the lattice  $L_2(7) \times D_8$  given on p.64 of [11].

**Example 3.12.** Let  $K = \mathbb{Q}(\zeta_{40})$  be the cyclotomic field generated by a 40<sup>th</sup> root of unity and let  $\ell = 2$ . We can also apply Proposition 3.7 to get a 2-modular lattice of trace type over  $K$  which is 16-dimensional. A computation in PARI/GP shows that this lattice is of minimum 4, and is therefore an extremal lattice. Since the class number of  $K$  is one, there is only one Arakelov-modular lattice of level 2 in  $K$  (cf Propostion 4.2). This is the Barnes-Wall lattice  $BW_{16}$  (see Theorem 4 of [14]).

Proposition 3.7 gives the list of all cyclotomic fields in which there exists an Arakelov-modular lattice of trace type. We now want to give the list of all cyclotomic fields in which there exists an Arakelov-modular lattice. First of all, notice that Lemma 2 of [4] gives all the cyclotomic fields generated by a



$p^r$ -th root of unity (with  $p$  prime) in which there exists an Arakelov-modular lattice (cf. Theorem 3.15). So consider a cyclotomic field  $K$  whose extension  $K/F$  is unramified at the finite primes. We have  $K = \mathbb{Q}(\zeta_n)$ , where  $n$  is odd or divisible by 4 and where  $n$  is not a prime power. Moreover, the maximal real subfield of  $K$  is  $F = \mathbb{Q}(\zeta_n + \zeta_n^{-1})$  and  $K/F$  is unramified at the finite primes. For a divisor  $d$  of  $n$ , we define  $\zeta_d = \zeta_n^{\frac{n}{d}}$ . The different of  $K/\mathbb{Q}$  is then

$$\mathcal{D}_K = n \prod_{p|n, p \text{ prime}} (1 - \zeta_p)^{-1} \mathcal{O}_K.$$

For a divisor  $d$  of  $n$ , define  $\gamma_d \in F$  to be :

$$\gamma_d = \begin{cases} (1 - \zeta_d)(1 - \zeta_n)^{-\frac{n}{d}} & , \text{ if } \frac{n}{d} \text{ is odd,} \\ (1 - \zeta_d)(1 - \zeta_n)^{-\frac{n}{d}} \zeta_n^{\frac{n}{4}} & , \text{ otherwise.} \end{cases}$$

We see that for a prime  $p|n$ , the ideal  $(1 - \zeta_p)\mathcal{O}_K$  comes from the ideal of  $F$  generated by  $\gamma_p$ , hence  $\mathcal{D}_K = \mathcal{D}_F = n \prod_{p|n} \gamma_p^{-1} \mathcal{O}_K$ . Denote  $\rho$  the homomorphism from  $(\mathbb{Z}/n\mathbb{Z})^*$  to the embeddings of  $K$  into  $\mathbb{R}$  defined by

$$\rho(k)(\zeta_n) = \exp\left(\frac{2ik\pi}{n}\right).$$

A straightforward computation gives

$$(1) \quad \rho(k)(\gamma_d) = \begin{cases} 2^{-\frac{n}{d}+1} (-1)^{\frac{3d-3n}{2d}} \sin\left(\frac{\pi k}{d}\right) \sin\left(\frac{\pi k}{n}\right)^{-\frac{n}{d}} & , \text{ if } \frac{n}{d} \text{ is odd,} \\ 2^{-\frac{n}{d}+1} (-1)^{\frac{kd+3d-3n}{2d}} \sin\left(\frac{\pi k}{d}\right) \sin\left(\frac{\pi k}{n}\right)^{-\frac{n}{d}} & , \text{ otherwise (for } d \neq 2). \end{cases}$$

Let  $\ell$  be a square-free integer dividing  $n$ . Let  $\lambda \in K$  be such that  $\lambda^2 = \varepsilon\ell$ , with  $\varepsilon \in \{\pm 1, \pm i\}$ . Define  $\ell_1, \ell_2$  and  $n'$  as in Proposition 3.7. We will say that a prime  $p|n$  is *bad* for  $(n, \ell)$  if either  $p|\ell_1 n'$  and  $p$  is not a good divisor of  $n$ , or  $\ell_1$  is even and 2 is not a norm of  $\mathbb{Q}(\zeta_{\frac{n}{4}})/\mathbb{Q}(\zeta_{\frac{n}{4}} + \zeta_{\frac{n}{4}}^{-1})$ . Denote  $n_{\text{bad}} = \prod p^r$ , where  $p$  goes through the bad prime divisors for  $(n, \ell)$ , and where  $n/n_{\text{bad}}$  is relatively prime to  $n_{\text{bad}}$ . According to Proposition 3.7,  $n_{\text{bad}}$  is the largest divisor of  $n$  which prevents the existence of an Arakelov-modular lattice of level  $\ell$  and of trace type over  $\mathbb{Q}(\zeta_n)$ . However if we define  $\delta$  to be

$$\delta = \begin{cases} \prod_{p \text{ bad}} \gamma_p & , \text{ if } n_{\text{bad}} \text{ is odd,} \\ \gamma_4 \prod_{p \text{ bad, } p \neq 2} \gamma_p & , \text{ otherwise,} \end{cases}$$

then there exists an ideal  $\mathcal{I}$  of  $K = \mathbb{Q}(\zeta_n)$  such that  $\lambda n_{\text{bad}} \delta^{-1} \mathcal{D}_K^{-1} = \mathcal{I}\bar{\mathcal{I}}$  (see the proof of Proposition 3.7). Therefore if we can find a unit  $u$  having the same signature as  $\delta$ , there will exist an Arakelov-modular lattice of level  $\ell$  over  $K$ . In fact, we have the following :

**Proposition 3.13.** *Write  $\delta \mathcal{O}_F = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_r^{e_r}$  and  $\lambda \mathcal{D}_K = \mathfrak{Q}_1^{a_1} \cdots \mathfrak{Q}_s^{a_s}$  (where the  $\mathfrak{p}_i$ 's are prime  $\mathcal{O}_F$ -ideals and the  $\mathfrak{Q}_i$ 's are prime  $\mathcal{O}_K$ -ideals). With the above notation, the following conditions are equivalent.*

- (i) *There exists an Arakelov-modular lattice of level  $\ell$  over  $K = \mathbb{Q}(\zeta_n)$ .*

$$\begin{aligned} (ii) \quad & \sum e_i \equiv 0 \pmod{2}. \\ (iii) \quad & \sum a_i \equiv 0 \pmod{2}. \end{aligned}$$

*Proof.* The conditions (ii) and (iii) are equivalent because all the  $\mathfrak{p}_j$ 's dividing  $\delta\mathcal{O}_F$  are inert in  $K/F$  and  $\lambda\mathcal{D}_K^{-1}n_{\text{bad}}\overline{\mathcal{I}\mathcal{I}} = \delta\mathcal{O}_K$ . So the equivalence follows from the fact that  $n_{\text{bad}}$  is a square in  $K$  and that  $v_{\mathfrak{p}}(\overline{\mathcal{I}\mathcal{I}}) + v_{\overline{\mathfrak{p}}}(\overline{\mathcal{I}\mathcal{I}}) \equiv 0 \pmod{2}$ .

Assume now (i), and let  $(\mathcal{J}, \alpha)$  be an Arakelov-modular lattice of level  $\ell$  over  $K$ . Let  $\lambda \in K$  be such that  $\lambda^2 = \varepsilon\ell$ , with  $\varepsilon \in \{\pm 1, \pm i\}$ . Using an argument similar to the proof of Proposition 3.7, we see that there exists an ideal  $\mathcal{I}$  of  $K$  such that  $n_{\text{bad}}\delta^{-1}\lambda\mathcal{D}_K^{-1} = \mathcal{I}\overline{\mathcal{I}}$ . The lattice  $(\mathcal{J}, \alpha)$  is Arakelov-modular so  $\alpha^{-1}\lambda\mathcal{D}_K^{-1} = \mathcal{J}\overline{\mathcal{J}}$  (see Remark 3.5). Let  $I_F$  denote the group of ideals of  $F$ . Let  $\Psi_{K/F}$  be the Artin map :

$$\Psi_{K/F} : I_F \longrightarrow \mathbf{Gal}(K/F) = \{\pm 1\}, \quad \mathfrak{p} \mapsto \begin{cases} -1 & , \text{ if } \mathfrak{p} \text{ is inert in } K/F \\ 1 & , \text{ otherwise.} \end{cases}$$

The extension  $K/F$  is ramified at all the infinite primes, and unramified at the finite primes, so class field theory tells us that  $\delta\mathcal{O}_F = \frac{1}{n_{\text{bad}}}\alpha\mathcal{J}\mathcal{I}^{-1}\overline{\mathcal{J}\mathcal{I}^{-1}} \cap \mathcal{O}_F$  is in the kernel of the Artin map  $\Psi_{K/F}$ . But by the definition of  $\delta$ , for all the prime ideals  $\mathfrak{p}$  dividing  $\delta\mathcal{O}_F$ ,  $v_{\mathfrak{p}}(\delta)$  is odd and  $\mathfrak{p}$  is inert in the extension  $K/F$ . So there must be an even number of distinct prime ideals dividing  $\delta$ , and condition (ii) holds.

Assume now (ii), so that  $\delta\mathcal{O}_F$  is in the kernel of the Artin map. Using an argument similar to the proof of Proposition 3.7, we see that there exists an ideal  $\mathcal{I}$  of  $K$  such that  $n_{\text{bad}}\delta^{-1}\lambda\mathcal{D}_K^{-1} = \mathcal{I}\overline{\mathcal{I}}$ . By class field theory, there exists a totally positive element  $\alpha \in F$  and an ideal  $\mathcal{J}$  of  $K$  such that  $\delta\mathcal{O}_F = \alpha\mathcal{J}\overline{\mathcal{J}} \cap \mathcal{O}_F$  (in fact, no finite prime ramifies in  $K/F$ , hence  $N_{K/F}(\mathcal{J}) = \mathcal{J}\overline{\mathcal{J}} \cap \mathcal{O}_F$  for all ideals  $\mathcal{J}$  of  $K$ ). Therefore,  $n_{\text{bad}}\alpha^{-1}\lambda\mathcal{D}_K^{-1} = \mathcal{I}\mathcal{J}\overline{\mathcal{I}\mathcal{J}}$ , and Proposition 4.1 tells us that the lattice  $(\mathcal{I}\mathcal{J}, n_{\text{bad}}^{-1}\alpha)$  is an Arakelov-modular lattice of level  $\ell$ . This completes the proof.  $\square$

We are now interested in giving a more comprehensible condition equivalent to the conditions of Proposition 3.13. Recall that we have

$$(2) \quad \mathcal{D}_K = n \prod_{p|n} \gamma_p^{-1} \mathcal{O}_K, \text{ and } \lambda = \begin{cases} u \prod_{p|\ell} \gamma_p^{\frac{p-1}{2}} & , \text{ if } \ell \text{ is odd,} \\ u\gamma_4 \prod_{p|\ell, p \text{ odd}} \gamma_p^{\frac{p-1}{2}} & , \text{ otherwise,} \end{cases}$$

for some unit  $u \in \mathcal{O}_K$ . Assume first that  $n = p^r q^s$  where  $p, q$  are odd primes (this discussion also applies to the case  $n = 4q^s$ , where we must replace  $\gamma_p$  with  $\gamma_4$ ). For a prime ideal  $\mathfrak{P}$  of  $K$ , we have  $v_{\mathfrak{P}}(\gamma_p) = p^{p^{r-1}}$  (resp.  $v_{\mathfrak{P}}(\gamma_4) = 1$ ) is odd. So  $\sum_{\mathfrak{P}|p} v_{\mathfrak{P}}(\gamma_p)$  has the parity of the number of distinct prime ideals dividing  $p$ . Hence our aim is to know the parity of the number of distinct prime ideals above  $p$ . In fact, it is also the parity of the number of prime ideals of  $\mathbb{Q}(\zeta_q)$  above  $p$ . Therefore, we can assume that  $s = 1$ . Let  $f_p = \min\{f : p^f \equiv 1 \pmod{q}\}$ . The number of prime ideals in  $\mathbb{Q}(\zeta_q)$  above  $p$

is  $g_p = \frac{q-1}{f_p}$ . Suppose that  $\left(\frac{p}{q}\right) = 1$  ( $\left(\frac{p}{q}\right)$  is the Legendre symbol of  $p$  and  $q$ ). Then  $p$  is a square modulo  $q$  and  $f_p \mid \frac{q-1}{2}$ . So  $g_p = \frac{q-1}{f_p} = 2 \cdot \frac{q-1}{2f_p}$  is even. Suppose now that  $\left(\frac{p}{q}\right) = -1$ . Using the fact that  $(\mathbb{Z}/q)^\times$  is cyclic, we see that  $g_p = \frac{q-1}{f_p}$  is odd. Therefore, for  $n = p^r q^s$ , we have :

$$(3) \quad \sum_{\mathfrak{P}|p} v_{\mathfrak{P}}(\gamma_p) \equiv \begin{cases} 0 \pmod{2} & , \text{ if } \left(\frac{p}{q}\right) = 1, \\ 1 \pmod{2} & , \text{ if } \left(\frac{p}{q}\right) = -1, \end{cases}$$

where the sum is taken on the prime ideals of  $\mathbb{Q}(\zeta_n)$  above  $p$ .

Assume now that  $n = 2^r q^s$ . For a prime ideal  $\mathfrak{P}$  above 2,  $v_{\mathfrak{P}}(\gamma_4)$  is odd if and only if  $r=2$ , and  $v_{\mathfrak{P}}(\gamma_2)$  is always even. If  $r \geq 3$ , the exponent of  $(\mathbb{Z}/2^r)^\times$  is  $2^{r-2}$ , hence  $g_q = \frac{2^r-1}{f_q}$  is even. So  $\sum_{\Omega|q} v_{\Omega}(\gamma_q)$  is even in this case. Now if  $r = 2$ , the number of prime ideals in  $\mathbb{Q}(i)$  above  $q$  is even if and only if  $q \equiv 1 \pmod{4}$ , and the number of prime ideals in  $\mathbb{Q}(\zeta_{q^s})$  above 2 is even if and only if  $\left(\frac{2}{q}\right) = 1$  (this is formula (3) for  $p = 2$ ).

Finally, assume that at least three distinct primes divide  $n$ . Let  $p$  be a prime dividing  $n$  and write  $n = p^r n_p$ , where  $(n_p, p) = 1$ . Let  $f_p = \min\{f : p^f \equiv 1 \pmod{n_p}\}$ . It is easy to see that the exponent of  $(\mathbb{Z}/n_p)^\times$  divides  $\frac{\varphi(n_p)}{2}$ , hence  $f_p$  divides  $\frac{\varphi(n_p)}{2}$ . So the number of distinct prime ideals of  $\mathbb{Q}(\zeta_n)$  above  $p$  is  $g_p = \frac{\varphi(n_p)}{f_p}$  and is even. Hence, if at least three distinct primes divide  $n$ , then for all prime  $p|n$ , we have :

$$\sum_{\mathfrak{P}|p} v_{\mathfrak{P}}(\gamma_p) \equiv 0 \pmod{2},$$

where the sum is taken on the prime ideals of  $\mathbb{Q}(\zeta_n)$  above  $p$ .

Proposition 3.13 can now be restated as follow :

**Theorem 3.14.** *Let  $n$  be an integer such that  $n \not\equiv 2 \pmod{4}$  and such that  $n$  is not a prime power, and let  $\ell$  be a square-free integer. Then there exists an Arakelov-modular lattice of level  $\ell$  over  $\mathbb{Q}(\zeta_n)$  if and only if  $\ell \in \text{Modular}(n)$ , where  $\text{Modular}(n)$  is defined as follow (in the sequel,  $p$  and  $q$  are odd primes).*

1. If  $n = 4q^s$ , with  $q \equiv 1 \pmod{8}$ , then  $\text{Modular}(n) = \{1, 2, q, 2q\}$ .
2. If  $n = 4q^s$ , with  $q \equiv 3 \pmod{8}$ , then  $\text{Modular}(n) = \{2, q\}$ .
3. If  $n = 4q^s$ , with  $q \equiv 5 \pmod{8}$ , then  $\text{Modular}(n) = \{1, q\}$ .
4. If  $n = 4q^s$ , with  $q \equiv 7 \pmod{8}$ , then  $\text{Modular}(n) = \{q, 2q\}$ .
5. If  $n = 2^r q^s$  with  $r \geq 3$ , then  $\text{Modular}(n) = \{1, 2, q, 2q\}$ .
6. If  $n = p^r q^s$ , with  $p \equiv q \equiv 1 \pmod{4}$ , then  $\text{Modular}(n) = \{1, p, q, pq\}$ .
7. If  $n = p^r q^s$ , with  $p \equiv 1 \pmod{4}$ ,  $q \equiv 3 \pmod{4}$  and  $\left(\frac{p}{q}\right) = 1$ , then  $\text{Modular}(n) = \{1, p, q, pq\}$ .
8. If  $n = p^r q^s$ , with  $p \equiv 1 \pmod{4}$ ,  $q \equiv 3 \pmod{4}$  and  $\left(\frac{p}{q}\right) = -1$ , then  $\text{Modular}(n) = \{1, p\}$ .

9. If  $n = p^r q^s$ , with  $p \equiv q \equiv 3 \pmod{4}$  and  $\left(\frac{p}{q}\right) = 1$ , then  $\text{Modular}(n) = \{q, pq\}$ .
10. If at least three distinct primes divide  $n$ , then  $\text{Modular}(n)$  consists of all the square-free divisors of  $n$ .

*Proof.* This proposition follows from formula (2) and from the discussion about the parity of the number of distinct prime ideals in  $\mathbb{Q}(\zeta_n)$  above a given prime number  $p|n$ .  $\square$

In order to be complete, we will restate here the results corresponding to the prime power case which can be found in [4], Lemma 2.

**Theorem 3.15.** *Let  $n = p^r$  be a prime power. Let  $\ell$  be a square-free integer. Then there exists an Arakelov-modular lattice of level  $\ell$  over  $\mathbb{Q}(\zeta_n)$  if and only if  $\ell \in \text{Modular}(n)$ , where  $\text{Modular}(n)$  is defined as follow.*

1. If  $p \equiv 1 \pmod{4}$ , then  $\text{Modular}(n) = \emptyset$ .
2. If  $p \equiv 3 \pmod{4}$ , then  $\text{Modular}(n) = \{p\}$ .
3. If  $n = 4$ , then  $\text{Modular}(n) = \{1\}$ .
4. If  $n = 2^r$ ,  $r \geq 3$ , then  $\text{Modular}(n) = \{1, 2\}$ .

*Proof.* In [4], Lemma 2, the point 1 is already shown. In [4], Proposition 1, an Arakelov-modular lattice of level  $p$  (denoted  $\mathcal{L}_{p^r}^p$ ) is exhibited in the field  $\mathbb{Q}(\zeta_{p^r})$  for  $p \equiv 3 \pmod{4}$  and for  $p = 2, r \geq 3$ . Moreover, in  $\mathbb{Q}(\zeta_{2^r})$ , an unimodular lattice can be constructed because the different of  $\mathbb{Q}(\zeta_{2^r})/\mathbb{Q}$  is a square. Therefore it remains to prove that no unimodular lattice can be constructed over  $\mathbb{Q}(\zeta_{p^r})$  for  $p \equiv 3 \pmod{4}$ , and that there are no 2-modular lattices over  $\mathbb{Q}(i)$ .

This can be shown as in [4], Lemma 2. If  $L = (\mathcal{I}, \alpha)$  is an ideal lattice over  $\mathbb{Q}(\zeta_{p^r})$ , then  $\det(L) = N_{\mathbb{Q}(\zeta_{p^r})/\mathbb{Q}}(\alpha \mathcal{I}^2) \text{disc}_{\mathbb{Q}(\zeta_{p^r})}$ .  $N(\alpha)$  is a square (because  $\alpha$  is totally real) and  $\text{disc}_{\mathbb{Q}(\zeta_{p^r})}$  is a square in the case  $p^r = 4$  (resp. is not a square in the case  $p \equiv 3 \pmod{4}$ ). Therefore we can not have  $\det(L) = 2$  (resp.  $\det(L) = 1$ ) in the case  $p^r = 4$  (resp.  $p \equiv 3 \pmod{4}$ ). This concludes the proof.  $\square$

If we apply Theorem 3.14 to  $\ell = 1$ , we find as a particular case Theorem 1.1,I,c) of [2], namely :

**Corollary 3.16.** *Assume that  $n$  is not a power of 2. Then there exists an unimodular lattice over  $\mathbb{Q}(\zeta_n)$  if and only if at least two primes divide  $n$  and  $\varphi(n)$  is divisible by 8.*

The three following examples will illustrate Theorem 3.14.

**Example 3.17.** Let  $K = \mathbb{Q}(\zeta_{15})$  be the cyclotomic field generated by a 15<sup>th</sup> root of unity. Theorem 3.14, 8. tells us that there exists an Arakelov-modular lattice of level 5 over  $K$ . The different of  $K/\mathbb{Q}$  is  $\mathcal{D}_K = 15\delta^{-1}\mathcal{O}_K$ , where  $\delta = \gamma_3\gamma_5$  is defined as before (in this case, both 3 and 5 are bad for (15, 5)). We check that  $5 = \lambda^2$ , with  $\lambda\mathcal{O}_K = \gamma_5^2\mathcal{O}_K$ . Hence  $15\lambda\delta^{-1}\mathcal{D}_K^{-1} = \gamma_5\gamma_5\mathcal{O}_K$ .

The sign of  $\rho(k)(\delta)$  is the sign of  $-\sin\left(\frac{\pi k}{3}\right)\sin\left(\frac{\pi k}{5}\right)$  for  $0 < k < 15$ . Apply the results of section 4 of [2] (or use PARI/GP) to obtain a unit  $u = -\frac{\zeta_{15}^2 - \zeta_{15}^{-2}}{\zeta_{15} - \zeta_{15}^{-1}}$  such that the sign of  $\rho(k)(u)$  is the sign of  $\rho(k)(\delta)$  for all  $0 < k < 8$ ,  $\gcd(k, 15) = 1$ . Finally, we get an Arakelov-modular lattice  $(\mathcal{I}, \alpha)$  of level 5, where  $\alpha = \frac{1}{15}u\delta$  and  $\mathcal{I} = \gamma_5\mathcal{O}_K$ . This is the only Arakelov-modular lattice of level 5 over  $K$  since  $h_K = 1$  (see Proposition 4.2). A computation in PARI/GP tells us that this lattice is of minimum 4, and is therefore an extremal lattice. This lattice is the same lattice as the one constructed on p.16 of [17].

**Example 3.18.** Let  $K = \mathbb{Q}(\zeta_{35})$  be the cyclotomic field generated by a 35<sup>th</sup> root of unity. Theorem 3.14, 8. tells us that there exists an Arakelov-modular lattice of level 5 over  $K$ . Both 5 and 7 are bad for  $(35, 5)$ , so the different of  $K/\mathbb{Q}$  is  $\mathcal{D}_K = 35\delta^{-1}\mathcal{O}_K$ , where  $\delta = \gamma_7\gamma_5$  is defined as before.

The sign of  $\rho(k)(\delta)$  is the sign of  $-\sin\left(\frac{\pi k}{5}\right)\sin\left(\frac{\pi k}{7}\right)$  for  $0 < k < 35$ . We can use the results of [2] to find a unit

$$u = -\frac{\zeta^3 - \zeta^{-3}}{\zeta - \zeta^{-1}} \frac{\zeta^9 - \zeta^{-9}}{\zeta - \zeta^{-1}} \frac{\zeta^{11} - \zeta^{-11}}{\zeta - \zeta^{-1}} \frac{\zeta^{12} - \zeta^{-12}}{\zeta - \zeta^{-1}} \frac{\zeta^{13} - \zeta^{-13}}{\zeta - \zeta^{-1}}$$

having the same signature as  $\delta$ . Therefore we get an Arakelov-modular lattice  $(\mathcal{I}, \alpha)$  of level 5, with  $\alpha = \frac{1}{35}u\delta$  and  $\mathcal{I} = \gamma_5\mathcal{O}_K$ . The class number of  $K$  is  $h_K = 1$ , so there is exactly one Arakelov-modular lattice of level 5 over  $K$  (see Proposition 4.2). A computation under PARI/GP tells us that this ideal lattice is of minimum 8, and is therefore extremal. This lattice is the one given in p. 23 of [17].

Using the notation of Proposition 3.14, let  $P_F^+$  be the set of ideals of  $F$  generated by totally positive elements and let  $\text{cl}^+(F) = I_F/P_F^+$  be the narrow class group of  $F$ . Let  $\text{cl}(K) = I_K/P_K$  be the class group of  $K$ . We have a map

$$\text{cl}(K) \rightarrow \text{cl}^+(F), \quad \text{cl}(\mathcal{I}) \mapsto \text{cl}(\delta N_{K/F}(\mathcal{I})).$$

Proposition 3.13 tells us when the trivial class of  $\text{cl}^+(F)$  is in the image of this map.

**Example 3.19.** Let  $K = \mathbb{Q}(\zeta_{56})$  be the cyclotomic field generated by a 56<sup>th</sup> root of unity. Theorem 3.14, 5. tells us that there exists an Arakelov-modular lattice of level 2 over  $K$ . As 7 is bad for  $(56, 2)$ , the different of  $K/\mathbb{Q}$  is  $\mathcal{D}_K = 7\delta^{-1}(\mathfrak{Q}\overline{\mathfrak{Q}})^8\mathcal{O}_K$ , where  $\delta = \gamma_7$  is defined as before, and  $\mathfrak{Q}$  is a prime ideal of  $\mathcal{O}_K$  above 2.

According to PARI/GP, there are no units of  $K$  having the same signature as  $\delta$ . However, we know that the trivial class of  $\text{cl}^+(F)$  is in the image of the map defined above this example. The class group of  $K$  is of order 2 and is generated by  $\mathfrak{Q}$ , therefore the ideal  $\gamma_7\mathfrak{Q}\overline{\mathfrak{Q}}$  must be generated by a totally positive element. In fact, this ideal is generated by the totally real element  $\gamma_7\gamma_8$ , whose signature is given by formula (1), so all that remains is to find

a suitable unit. This can be done using PARI/GP. In this way, we find a 2-modular lattice of dimension 24 and of minimum 4.

#### 4. CLASSIFICATION RESULTS

Given a CM field  $K$  and an Arakelov-modular lattice over  $K$ , this section deals with the problem of classifying all Arakelov-modular lattices which can be constructed over  $K$ . As we will see, this is possible under the hypothesis that at most one finite prime of  $F$  ramifies in  $K$ . Note that this is always the case for cyclotomic fields.

First, we assume that there exists an Arakelov-modular lattice of level  $\ell$  and of trace type over  $K$ . If the extension  $K/F$  is ramified on at most one finite prime, then all Arakelov-modular lattices of level  $\ell$  over  $K$  are Arakelov-equivalent to a lattice of trace type. This is the aim of the next Proposition :

**Proposition 4.1.** *Assume that there is an Arakelov-modular lattice of level  $\ell$  and of trace type  $(\mathcal{I}, 1)$  in  $K$  and also assume  $K/F$  is ramified on at most one finite prime. Let  $(\mathcal{J}, \alpha)$  be an Arakelov-modular lattice of level  $\ell$ . Then there exists an ideal  $\mathcal{K}$  of  $K$  such that  $(\mathcal{J}, \alpha) \cong_A (\mathcal{K}, 1)$ .*

*Proof.* Assume first that no finite prime ramifies in the extension  $K/F$ . Let  $\lambda \in K$  such that  $\lambda\mathcal{I}^* = \mathcal{I}$  and  $\lambda\mathcal{J}^* = \mathcal{J}$  (see Proposition 3.4). The first equality implies that  $\mathcal{I}\bar{\mathcal{I}} = \lambda\mathcal{D}_K^{-1}$ , and the second one that  $\alpha\mathcal{J}\bar{\mathcal{J}} = \lambda\mathcal{D}_K^{-1}$ . Therefore we have

$$(4) \quad \alpha\mathcal{O}_K = (\mathcal{J}\bar{\mathcal{J}})^{-1}\mathcal{I}\bar{\mathcal{I}}.$$

We can now check that  $\alpha$  is a local norm of  $K/F$  at each prime, to deduce via the Hasse norm Theorem that  $\alpha$  is a global norm. Note that  $\alpha$  is certainly a local norm at each infinite prime since  $\alpha$  is totally positive. Let  $\mathfrak{P}$  be a finite prime of  $K$ .  $K_{\mathfrak{P}}/F_{\mathfrak{P}}$  is unramified, so if  $\alpha$  is a unit at  $\mathfrak{P}$ ,  $\alpha$  is a norm of  $K_{\mathfrak{P}}/F_{\mathfrak{P}}$ . If  $\alpha$  is not a unit at  $\mathfrak{P}$ , equality (4) tells us that  $v_{\mathfrak{P}}(\alpha)$  is even whenever  $\mathfrak{P} = \bar{\mathfrak{P}}$  (i.e. whenever  $K_{\mathfrak{P}} \neq F_{\mathfrak{P}}$ ), so  $\alpha$  is also a norm at  $\mathfrak{P}$ . Hence there exists  $\delta \in K$  such that  $\alpha = \delta\bar{\delta}$ . The ideal  $\mathcal{K} = \delta\mathcal{J}$  gives rise to an ideal lattice of trace type such that  $(\mathcal{K}, 1) \cong_A (\mathcal{J}, \alpha)$ . This completes the case in which  $K/F$  is unramified at the finite primes.

If only one finite prime  $\mathfrak{Q}$  ramifies in  $K/F$ , a similar proof will work if we show that  $\alpha$  is also a local norm at  $\mathfrak{Q}$ , and this is given by the Hilbert reciprocity law.  $\square$

Suppose that at most one finite prime of  $F$  ramifies in  $K$ . If moreover there exists an Arakelov-modular lattice of level  $\ell$  over  $K$ , then we can explicitly describe all Arakelov-modular lattice of level  $\ell$  which can be constructed over  $K$ . This is the purpose of the next proposition.

In order to state the next proposition, we introduce  $\text{cl}(K)$  the ideal class group of  $K$ , and we denote  $\text{cl}(K)^\tau$  the set of classes of  $\text{cl}(K)$  which contain

an ideal fixed by  $\mathbf{Gal}(K/F)$ . If  $\mathcal{J}$  is an ideal of  $K$ , we write  $[\mathcal{J}]$  for its class in the ideal class group of  $K$ .

Let  $\mathbf{AM}_K(\ell)$  be the set of classes of Arakelov-modular lattices of level  $\ell$  over  $K$  modulo Arakelov-equivalence (cf Definition 1.2). The class of an ideal lattice  $(\mathcal{I}, \alpha)$  in  $\mathbf{AM}_K(\ell)$  is denoted by  $[\mathcal{I}, \alpha]$ . Assume  $\mathbf{AM}_K(\ell) \neq \emptyset$  and choose  $[\mathcal{I}, \alpha] \in \mathbf{AM}_K(\ell)$ . Let  $\Phi$  be the map

$$\Phi : \mathrm{cl}(K) / \mathrm{cl}(K)^\tau \rightarrow \mathbf{AM}_K(\ell), \quad [\mathcal{J}] \mapsto [\mathcal{I}\mathcal{J}\overline{\mathcal{J}}^{-1}, \alpha].$$

**Proposition 4.2.** *With the above assumptions,  $\Phi$  is bijective.*

*Proof.* We first introduce a notation : for  $\gamma \in K$ , and for an ideal lattice  $(\mathcal{J}, \beta)$ , we define  $\gamma \cdot (\mathcal{J}, \beta) = (\gamma\mathcal{J}, (\gamma\overline{\gamma})^{-1}\beta)$ . Recall that the lattices  $(\mathcal{J}, \beta)$  and  $\gamma \cdot (\mathcal{J}, \beta)$  are isometric.

First of all, define  $\tilde{\Phi} : \mathrm{cl}(K) \rightarrow \mathbf{AM}_K(\ell)$  as above. If  $\mathcal{J} = \beta\mathcal{O}_K$  is a principal ideal, then  $(\mathcal{I}\mathcal{J}\overline{\mathcal{J}}^{-1}, \alpha) = (\beta/\overline{\beta}) \cdot (\mathcal{I}, \alpha)$ , so  $\tilde{\Phi}$  is well defined. Let  $(\tilde{\mathcal{I}}, \tilde{\alpha})$  be an Arakelov-modular lattice of level  $\ell$  over  $K$ . Let  $\lambda \in \mathcal{O}_K$  such that  $\lambda\tilde{\mathcal{I}}^* = \mathcal{I}$  and  $\lambda\tilde{\alpha}^* = \alpha$  (see Proposition 3.4). A similar argument to the one used in the proof of Proposition 4.1 gives that  $\tilde{\alpha} \in N_{K/F}(K)\alpha$ . Hence by replacing  $(\tilde{\mathcal{I}}, \tilde{\alpha})$  by  $\gamma \cdot (\tilde{\mathcal{I}}, \tilde{\alpha})$ , where  $\tilde{\alpha} = \gamma\overline{\gamma}\alpha$ , we can reduce the problem to the case  $\alpha = \tilde{\alpha}$ . We can now deduce that  $\mathcal{I}\tilde{\mathcal{I}} = \lambda\alpha^{-1}\mathcal{D}_K^{-1} = \tilde{\mathcal{I}}\tilde{\mathcal{I}}$ . Thus there exists an ideal  $\mathcal{J}$  over  $K$  such that  $\tilde{\mathcal{I}} = \mathcal{J}\overline{\mathcal{J}}^{-1}\mathcal{I}$ . This proves that the map  $\tilde{\Phi}$  is surjective.

Consider now  $[\mathcal{J}] \in \ker \tilde{\Phi}$ . We have  $[\mathcal{I}\mathcal{J}\overline{\mathcal{J}}^{-1}, \alpha] = [\mathcal{I}, \alpha]$ , so the ideal  $\mathcal{J}\overline{\mathcal{J}}^{-1}$  is generated by an element  $\beta \in K$  such that  $\beta\overline{\beta} = 1$ . We can now apply Hilbert's Theorem 90 to obtain an element  $\gamma \in K$  such that  $\gamma\mathcal{J} = \overline{\gamma\mathcal{J}}$ . This last equality gives that  $[\mathcal{J}]$  is in  $\mathrm{cl}(K)^\tau$ , and concludes then the proof.  $\square$

Thanks to Theorem 3.14, Proposition 4.2 can be applied to the case when  $K$  is a cyclotomic field. This is shown in the two following examples.

**Example 4.3.** Let  $K = \mathbb{Q}(\zeta_{56})$  be the cyclotomic field generated by a 56<sup>th</sup> root of unity. Let  $\ell = 7$  and apply Theorem 3.14, 5. to get an Arakelov-modular lattice of trace type and of level 7 over  $K$ . The class number of  $K$  is 2, so Proposition 4.2 tells us there are at most two Arakelov-modular lattices of level 7 over  $K$ . In fact, a computation in PARI/GP gives two Arakelov-modular lattices of level 7 over  $K$ , one of minimum 4 and the other of minimum 8. Neither of them is extremal.

**Example 4.4.** Let  $K = \mathbb{Q}(\zeta_{80})$  be the cyclotomic field generated by a 80<sup>th</sup> root of unity. Let  $\ell = 2$  and apply Theorem 3.14, 5. to get an Arakelov-modular lattice of trace type and of level 2 over  $K$ . The class number of  $K$  is 5, so Proposition 4.2 tells us there are at most five Arakelov-modular lattices of level 2 over  $K$ . In fact, a computation in PARI/GP gives two Arakelov-modular lattices of level 2 over  $K$ , one of minimum 4 and the other of minimum 6, the second one is therefore extremal. A computation



with the Bernd Souvignier isometry program (see [12]) gives that this lattice is isomorphic to the lattice  $Q_{32}$ .

## 5. ACTION OF THE GALOIS GROUP : EXAMPLES

In this section, we primarily give some examples for the case in which the similarity is induced by the Galois group of  $K/\mathbb{Q}$ .

Assume that  $K$  is a CM-field and  $K/\mathbb{Q}$  is Galois. Let  $\ell$  be an integer (not assumed to be square-free). For any  $\sigma \in \mathbf{Gal}(K/\mathbb{Q})$ , the map  $x \mapsto x^\sigma$  is an isometry from the ideal lattice  $(\mathcal{I}, \alpha)$  to  $(\mathcal{I}^\sigma, \alpha^\sigma)$ . Hence an ideal lattice  $(\mathcal{I}, \alpha)$  whose class  $[\mathcal{I}, \alpha]$  belongs to the same  $\mathbf{Gal}(K/\mathbb{Q})$ -orbit as  $[\mathcal{I}^*, \ell\alpha]$  is  $\ell$ -modular.

**Proposition 5.1.** *There exists an ideal lattice of trace type  $(\mathcal{I}, 1)$  whose class  $[\mathcal{I}, 1]$  belongs to the same  $\mathbf{Gal}(K/\mathbb{Q})$ -orbit as  $[\mathcal{I}^*, \ell]$  if and only if there exists an ideal  $\mathfrak{a}$  of  $K$ , a  $\lambda \in K$  and an automorphism  $\sigma \in \mathbf{Gal}(K/\mathbb{Q})$  such that  $\ell = \lambda\bar{\lambda}$ ,  $\lambda\mathfrak{a}^\sigma \subseteq \mathfrak{a}$  and  $\lambda\mathcal{D}_K^{-1} = \mathfrak{a}\bar{\mathfrak{a}}^\sigma$ .*

*Proof.* If  $[\mathcal{I}, 1] = [(\mathcal{I}^*)^\sigma, \ell^\sigma]$ , take  $\lambda \in K$  such that  $(\mathcal{I}, 1) = (\lambda(\mathcal{I}^*)^\sigma, \lambda\bar{\lambda}\ell^\sigma)$ . Then we get  $\ell = \lambda\bar{\lambda}$  and  $\lambda(\mathcal{I}^*)^\sigma = \mathcal{I}$ . We can now conclude using the identity  $(\mathcal{I}^*)^\sigma = (\mathcal{D}_K^{-1}\bar{\mathcal{I}}^{-1})^\sigma = \mathcal{D}_K^{-1}\bar{\mathcal{I}}^{-\sigma}$ . Conversely, a straightforward computation gives us that  $[\mathfrak{a}, 1] = [(\mathfrak{a}^*)^\sigma, \ell]$ , i.e. the lattice  $(\mathfrak{a}, 1)$  is  $\ell$ -modular.  $\square$

In fact, in the case of Proposition 5.1,  $\ell$  need not be ramified in  $K/\mathbb{Q}$  so that Proposition 3.4 is not true for such ideal lattices. The following two examples illustrate this fact.

**Example 5.2.** Let  $K$  be the cyclotomic field generated by a 40<sup>th</sup> root of unity. There exists prime ideals  $\mathfrak{P}, \mathfrak{Q}$  of  $K$  and there exists an automorphism  $\sigma \in \mathbf{Gal}(K/\mathbb{Q})$  such that  $3\mathcal{O}_K = \mathfrak{P}\mathfrak{P}^\sigma\bar{\mathfrak{P}}\bar{\mathfrak{P}}^\sigma$ ,  $5\mathcal{O}_K = (\mathfrak{Q}\bar{\mathfrak{Q}})^4$ . The different of  $K/\mathbb{Q}$  is  $\mathcal{D}_K = 4(\mathfrak{Q}\bar{\mathfrak{Q}})^3$ . We want to construct a modular lattice of level 15 over  $K$ . If such a lattice exists, Proposition 3.7 tells us that this lattice would not be Arakelov-modular over  $K$ . Since  $K$  has class number 1, there exists  $\lambda_3 \in K$  such that  $\lambda_3\mathcal{O}_K = \mathfrak{P}\bar{\mathfrak{P}}^\sigma$ . We have  $\lambda_3\bar{\lambda}_3 = 3u$ , where  $u$  is a totally positive unit of  $K$ . By Proposition A.2 of [19],  $u = v\bar{v}$  where  $v$  is a unit of  $K$ . Hence we can assume that  $\lambda_3\bar{\lambda}_3 = 3$ . Define  $\lambda = \lambda_3\sqrt{5}$ , so that  $\lambda\mathcal{O}_K = \mathfrak{P}\bar{\mathfrak{P}}^\sigma(\mathfrak{Q}\bar{\mathfrak{Q}})^2$ . We can assume that  $\mathfrak{Q}^\sigma = \mathfrak{Q}$  (by composing if necessary  $\sigma$  with an element of  $\mathfrak{D}_{\mathfrak{P}} - \mathfrak{D}_{\mathfrak{Q}}$ , for instance  $\zeta_{40} \mapsto \zeta_{40}^3$ ). Hence  $\lambda\mathcal{D}_K^{-1} = \mathfrak{P}\bar{\mathfrak{P}}^\sigma 4^{-1}(\mathfrak{Q}\bar{\mathfrak{Q}})^{-1} = \mathcal{I}\bar{\mathcal{I}}^\sigma$ , where  $\mathcal{I} = \mathfrak{P}2^{-1}\mathfrak{Q}^{-1}$ . Proposition 5.1 gives the existence of a 15-modular ideal lattice. A computation under PARI/GP tells us that this lattice is of minimum 10, and is therefore extremal. A computation with the Bernd Souvignier isometry program (see [12]) gives that this lattice is isomorphic to the lattice  $[SL_2(5) \text{ Y } SL_2(9)]$  given in Theorem IV.1 of [9] (the Gram matrix can be found in [9], p.142).

**Example 5.3.** Let  $K$  be the cyclotomic field generated by a 40<sup>th</sup> root of unity. We want to construct a 7-modular lattice over  $K$ . We have  $\mathcal{D}_K =$

$4(\mathfrak{Q}\overline{\mathfrak{Q}})^3$  and  $7\mathcal{O}_K = \mathfrak{P}\overline{\mathfrak{P}}\mathfrak{P}^\sigma\overline{\mathfrak{P}}^\sigma$ , where  $\mathfrak{P}, \mathfrak{Q}$  are prime ideals of  $K$  and  $5\mathcal{O}_K = (\mathfrak{Q}\overline{\mathfrak{Q}})^4$ . We can assume that  $\mathfrak{Q}^\sigma = \mathfrak{Q}$  (by composing if necessary  $\sigma$  with  $\zeta_{40} \mapsto \zeta_{40}^7$ , which is an element of  $\mathfrak{D}_{\mathfrak{P}} - \mathfrak{D}_{\mathfrak{Q}}$ ). Let  $\lambda$  be a generator of  $\mathfrak{P}\overline{\mathfrak{P}}^\sigma$  ( $K$  is principal). By Proposition A.2 of [19], all totally positive units of  $F$  are in  $N_{K/F}(U_K)$ , where  $U_K$  is the group of units of  $\mathcal{O}_K$ . Therefore we can assume that  $\lambda\overline{\lambda} = 7$ . Let  $\mathcal{I} = \frac{1}{2}\mathfrak{P}\mathfrak{Q}^{-3}$ , and apply Proposition 5.1 to get a 7-modular ideal lattice over  $K$ . This lattice cannot be Arakelov-modular over any cyclotomic field because 7 does not ramify in any cyclotomic field of dimension 16 over  $\mathbb{Q}$ . A computation in PARI/GP tells us that this lattice is of minimum 6, and is therefore extremal. This lattice is the same lattice as the one exhibited in [17], p21.

## REFERENCES

1. C. Batut, H.-G. Quebbemann, and R. Scharlau, *Computations of cyclotomic lattices*, Exp. Math. **4** (1995), 175–179.
2. E. Bayer-Fluckiger, *Definite unimodular lattices having an automorphism of given characteristic polynomial*, Comment. Math. Helvetici **59** (1984), 509–538.
3. ———, *Lattices and Number Fields*, Contemporary Mathematics **241** (1999), 69–84.
4. ———, *Cyclotomic Modular Lattices*, Journal de Théorie des Nombres de Bordeaux **12** (2000), 273–280.
5. ———, *Ideal Lattices*, in A Panorama of Number Theory or The View from Baker’s Garden, edited by Gisbert Wustholz **Cambridge Univ. Press, Cambridge** (2002), 168–184.
6. E. Bayer-Fluckiger and J. Martinet, *Formes quadratiques liées aux algèbres semi-simples*, J. reine angew. Math. **451** (1994), 51–69.
7. W. Feit, *On integral representation of finite groups*, Proc. London Math. **29** (1974), 633–683.
8. G. Nebe, *Gitter und Modulformen*, Jahresber. Dtsch. Math.-Ver. **104**, No.3 (2002), 125–144.
9. G. Nebe and W. Plesken, *Finite Rational Matrix Groups of degree 16*, Mem. Am. Math. Soc. **116** (1995), 74–144.
10. O.T. O’Meara, *Introduction to Quadratic Forms*, Springer, 1963.
11. W. Plesken and G. Nebe, *Finite Rational Matrix Groups*, Mem. Am. Math. Soc. **116** (1995), 1–73.
12. W. Plesken and B. Souvignier, *Computing isometries of lattices*, J. Symb. Comput. **24** (1997), 327–334.
13. H.-G. Quebbemann, *Unimodular lattices with isometries of prime order II*, Math. Nachr. **156** (1992), 219–224.
14. ———, *Modular Lattices in Euclidean Spaces*, Journal of Number Theory **54** (1995), 190–202.
15. ———, *Atkin-Lehner eigenforms and strongly modular lattices*, L’Enseignement Mathématique **43** (1997), 55–65.

16. ———, *A shadow identity and an application to isoduality*, Abhandlungen aus dem Mathematischen Seminar der Universität Hamburg **68** (1998), 339–345.
17. R. Scharlau and R. Schulze-Pillot, *Extremal lattices*, Matzat, B. Heinrich (ed.) et al., Algorithmic algebra and number theory. Selected papers from a conference, Heidelberg, Germany, October 1997. **Berlin: Springer** (1999), 139–170.
18. R. Schoof, *Computing Arakelov class groups*, to appear.
19. G. Shimura, *On abelian varieties with complex multiplication*, Proc. Lond. Math. Soc., III. Ser. **34** (1977), 65–86.

(E. Bayer-Fluckiger) EPFL, CH-1015 LAUSANNE, SWITZERLAND

*E-mail address:* `eva.bayer@epfl.ch`

*URL:* `http://alg-geo.epfl.ch/~bayer/`

(I. Suarez) EPFL, CH-1015 LAUSANNE, SWITZERLAND

*E-mail address:* `ivan.suarez@epfl.ch`