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Acoustic wave propagation in effective graded fully anisotropic fluid layers

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This work deals with the sound wave propagation modeling in anisotropic and heterogeneous media. The considered scattering problem involves an infinite layer of finite thickness containing an anisotropic fluid whose properties can vary along the layer depth. The specular transmission and reflection of an acoustic plane wave by such a layer is modeled through the state vector formalism for the acoustic fields. This is solved using three different numerical techniques, namely, the transfer matrix method, Peano series, and transfer Green's function. These three methods are compared to demonstrate the convergence of the numerical solutions. Moreover, the implemented numerical procedures allow the authors to retrieve the internal acoustic fields and show their dependency along with the fluid anisotropic properties. Results are presented to illustrate the changes in absorption that can be achieved by tuning the fluid anisotropy as well as the variation of these properties across the depth of the layer. The results presented are in very good agreement across the different methods. Given that many porous materials can be modeled as equivalent fluids, the results presented show the potential offered by such numerical techniques, and can further give more insight into inhomogeneous anisotropic porous materials. © 2019 Acoustical Society of America.

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I. INTRODUCTION

Acoustic treatments involving porous materials are commonly used for sound absorption purposes. The recent development of additive manufacturing provides more control on the micro-structures of these porous materials. Hence, the anisotropic and graded properties of such micro-structures influence the wave propagation in the medium, which is numerically described. A rigid-frame porous medium is usually modeled as an equivalent fluid that can display anisotropic and heterogeneous frequency dependent effective properties. One way to describe these effective properties is the well-known Johnson–Champoux–Allard–Lafarge (JCAL) model¹ which provides the thermal and viscous dynamic permeabilities of the propagation medium. For a periodic porous material, formed by a repetition of a unit cell, the JCAL model can rely on homogenized properties of this unit cell calculated using the method of multiple scales.² Since the viscous dissipation has been shown to be direction-dependent^{3–5} in anisotropic media, the same considerations are used in the current paper. Recent work⁶ has shown that anisotropic materials can have different apparent sound speed depending on the direction of propagation, coupling viscous, and inertial regimes. This is especially visible at grazing angles of incidence, and can be exploited for

absorption considering a diffuse field where all incidences are accounted for. The derivation of the equations has been done recently to retrieve the effective properties of an anisotropic homogeneous material,⁷ and is recalled in Sec. II.

The present work focuses on the modeling and analysis of inhomogeneous anisotropic materials. The scattering problem considered here involves an infinite layer of finite thickness containing an anisotropic fluid whose properties can vary across the depth of the layer. The transmission and reflection of an acoustic plane wave by such a layer is modeled through the state vector formalism, which is solved using three different techniques. First, the layer is assumed piece-wise constant and the standard transfer matrix method (TMM)⁸ is employed. The other two methods are applicable to continuously graded media. The Peano series (PS) has previously been used for graded^{9–11} and anisotropic materials¹² and wave-splitting techniques for continuously graded media.^{13–18} In addition, the internal fields and dissipation rate of energy are estimated¹⁹ and shown to be dependent on the fluid's effective properties. Other solution procedures can, however, be applied to approximate such propagation problems, as Euler or Runge-Kutta iterative schemes, which are commonly used for linear systems.¹⁴

The article is organized as follows: we first introduce the equivalent fluid model and the propagation problem considered in this work. The different numerical approaches are then presented, so as to solve for the acoustic fields inside the layer. Numerical results of the scattering coefficients on

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such anisotropic graded material are presented for all the methods considered, which show good agreement. Finally, further insight is provided into the dissipation rate within the anisotropic material and in the role played by the orientation of the micro-structure.

II. PROPAGATION IN GRADED ANISOTROPIC FLUID LAYERS

In this section the propagation of a plane wave through an anisotropic, heterogeneous equivalent fluid is described. We set the reference in the Cartesian coordinate system $\mathcal{R}_0 = (O, \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$ with the associated spatial coordinates vector $\mathbf{x} = (x_1, x_2, x_3) \in \mathbb{R}^3$. The fluid layer, denoted Ω , is a slab of finite thickness L and infinite extent in the (O, \mathbf{x}_\perp) plane, as illustrated in Fig. 1. The subscript \perp denotes the restriction of a vector to the (O, \mathbf{x}_\perp) plane with $\mathbf{x}_\perp = \{x_1, x_2\}$. The domain Ω is delimited by the plane boundaries at $x_3 = 0$ and $x_3 = L$ denoted Γ_0 and Γ_L , respectively. We solve for the sound field in this layer Ω in the linear harmonic regime using the time convention $e^{-i\omega t}$, where ω is the angular frequency. The effective bulk modulus and density of the anisotropic heterogeneous fluid are denoted $B(x_3, \omega)$ and $\rho(x_3, \omega)$. Note that these quantities are complex-valued, frequency dependent and can vary along the x_3 direction, moreover, while the bulk modulus of the medium is scalar, the density is a second order tensor accounting for anisotropic phenomena. The pressure p and particle velocity \mathbf{v} induced by the acoustic field in Ω are governed by the following linear equations for mass conservation and momentum conservation

$$i\omega\rho(x_3, \omega)\mathbf{v}(\mathbf{x}, \omega) = \nabla p(\mathbf{x}, \omega), \quad (1a)$$

$$i\omega B^{-1}(x_3, \omega)p(\mathbf{x}, \omega) = \nabla \cdot \mathbf{v}(\mathbf{x}, \omega). \quad (1b)$$

The exterior of the domain Ω is denoted Ω_0 and contains a homogeneous isotropic fluid, taken to be air in this case. The density of air is $\rho_0 = 1.213 \text{ kg}\cdot\text{m}^{-3}$ and its bulk modulus is $B_0 = \gamma P_0$ with $\gamma = 1.4$ the ratio of specific heat and $P_0 = 101325 \text{ Pa}$ the atmospheric pressure. The sound field in the exterior domain Ω_0 satisfies

$$i\omega\rho_0\mathbf{v}(\mathbf{x}, \omega) = \nabla p(\mathbf{x}, \omega), \quad (2a)$$

$$i\omega B_0^{-1}p(\mathbf{x}, \omega) = \nabla \cdot \mathbf{v}(\mathbf{x}, \omega). \quad (2b)$$

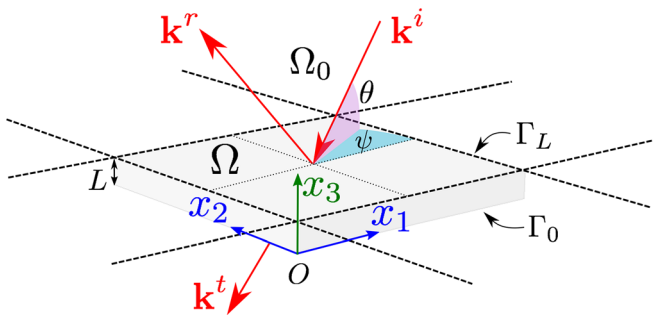


FIG. 1. (Color online) Schematic representation of the propagation problem in Ω_0 and Ω . A fluid layer of finite thickness L along x_3 , with infinite dimension in the (O, \mathbf{x}_\perp) plane and interfaces Γ_0 and Γ_L . Incident \mathbf{k}^i , reflected \mathbf{k}^r and transmitted \mathbf{k}^t wave-vectors are represented with red arrows. The elevation and azimuthal angles θ and ψ are shown, in purple and cyan.

While the density of the isotropic fluid in Ω_0 is described by the scalar ρ_0 , the anisotropy of the fluid in the layer Ω is described by the tensor density ρ . This tensor accounts for the fact that the properties of the waves in Ω depend on the direction of propagation. The density tensor ρ is diagonal in the special case where its principal directions are aligned with the coordinate system \mathcal{R}_0 . But in the general case it is full, symmetric and can be written

$$\rho = \mathbf{R} \begin{bmatrix} \rho_{11} & 0 & 0 \\ 0 & \rho_{22} & 0 \\ 0 & 0 & \rho_{33} \end{bmatrix} \mathbf{R}^T, \quad (3)$$

with \mathbf{R} the complete rotation matrix accounting for the yaw, pitch and roll angles, respectively (u_1, u_2, u_3) along $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$. For the sake of simplicity and since the particle velocity depends on the inverse of the density tensor, the second-order tensor $\mathbf{H} = \rho^{-1}$ remains symmetric and will be used instead of ρ in the remainder of this work.

In the upper region of Ω_0 , $x_3 \geq L$, we define an incident plane wave with unit amplitude:

$$p^i(\mathbf{x}, \omega) = e^{ik_1 x_1 + ik_2 x_2 - ik_3(x_3 - L)}, \quad (4)$$

where the components of the wave-vector \mathbf{k}^i are given by

$$k_1 = -k_0 \cos(\theta) \cos(\psi), \quad (5)$$

$$k_2 = -k_0 \cos(\theta) \sin(\psi), \quad (6)$$

$$k_3 = k_0 \sin(\theta), \quad (7)$$

with ψ and θ the polar and elevation angles, respectively. $k_0 = \omega/c_0$ is the free-field acoustic wave-number.

The presence of the anisotropic layer Ω gives rise to a reflected wave p^r in the upper region of Ω_0 and to a transmitted wave p^t in the lower region of Ω_0 , $x_3 \leq 0$. These are written

$$p^r(\mathbf{x}, \omega) = \tilde{R} e^{i\mathbf{k}_\perp \cdot \mathbf{x}_\perp + ik_3(x_3 - L)}, \quad (8)$$

$$p^t(\mathbf{x}, \omega) = \tilde{T} e^{i\mathbf{k}_\perp \cdot \mathbf{x}_\perp - ik_3 x_3}, \quad (9)$$

where \tilde{R} and \tilde{T} are the specular coefficients of reflection and transmission and $\mathbf{k}_\perp = \{k_1, k_2\}$ and $\mathbf{x}_\perp = \{x_1, x_2\}$. As the incident wave could physically come from $x_3 < 0$, it is important to be explicit about the scattering coefficients which are \tilde{R}^\pm and \tilde{T}^\pm , depending on the sign of wave incidence. The system being reciprocal we reach $\tilde{T} = \tilde{T}^+ = \tilde{T}^-$, whereas the distinction has to be made for the reflection since the heterogeneity of the medium can be non-symmetric. Without any specific considerations about the effective properties of the medium, $\tilde{R}^+ \neq \tilde{R}^-$ in the inhomogeneous case. For the sake of simplicity and as reversing the layer Ω between its interfaces is equivalent to propagating in the opposite direction, we use the notation $\tilde{R} = \tilde{R}^+$ when the incident waves comes from the upper region $x_3 \geq L$. However, the solution procedures developed further are valuable for all scattering coefficients.

The incident plane wave p^i also induces a sound field in the anisotropic and graded layer Ω . Given that (i) the

properties of this layer are independent of x_1 and x_2 and (ii) the incident field has an harmonic spatial dependence $e^{i\mathbf{k}_\perp \cdot \mathbf{x}_\perp}$, it is clear that the wave field in the layer Ω retains the same harmonic spatial dependence:

$$p(\mathbf{x}, \omega) = p(x_3)e^{i\mathbf{k}_\perp \cdot \mathbf{x}_\perp}, \quad (10a)$$

$$\mathbf{v}(\mathbf{x}, \omega) = \mathbf{v}(x_3)e^{i\mathbf{k}_\perp \cdot \mathbf{x}_\perp}. \quad (10b)$$

The derivation of the governing Eqs. (1) has recently been done for retrieval techniques and applied to fully anisotropic porous materials.⁷ The process is recalled as follows, and leads to the state-vector equation for pressure and normal particle velocity. From the conservation equations Eqs. (1), the transverse and normal components of the fields are expanded,

$$i\omega \mathbf{v}_\perp = i\mathbf{H}_\perp \cdot \mathbf{k}_\perp p + H_{33} \mathbf{q} \frac{\partial p}{\partial x_3}, \quad (11a)$$

$$i\omega H_{33}^{-1} v_3 = i\mathbf{k}_\perp \cdot \mathbf{q} p + \frac{\partial p}{\partial x_3}, \quad (11b)$$

$$i\omega B^{-1} p = i\mathbf{k}_\perp \cdot \mathbf{v}_\perp + \frac{\partial v_3}{\partial x_3}, \quad (11c)$$

where we have again used the notation $\mathbf{v}_\perp = \{v_1, v_2\}$. We have also introduced the coupling vector $\mathbf{q} = \{H_{13}/H_{33}, H_{23}/H_{33}\}$ and the 2×2 matrix $\mathbf{H}_\perp = H_{mn} \forall (m, n) \in \{1, 2\}^2$. From Eqs. (11a) and (11c) we get

$$i\omega B^{-1} p = i\mathbf{k}_\perp \cdot \left[i(\mathbf{H}_\perp \cdot \mathbf{k}_\perp) \frac{p}{i\omega} + \frac{H_{33}}{i\omega} \mathbf{q} \frac{\partial p}{\partial x_3} \right] + \frac{\partial v_3}{\partial x_3}. \quad (12)$$

Together with the momentum conservation in Eq. (11b), this leads to

$$i\omega B^{-1} p = H_{33} (\mathbf{k}_\perp \cdot \mathbf{q})^2 \frac{p}{i\omega} - \mathbf{k}_\perp \cdot (\mathbf{H}_\perp \cdot \mathbf{k}_\perp) \frac{p}{i\omega} + i(\mathbf{k}_\perp \cdot \mathbf{q}) v_3 + \frac{\partial v_3}{\partial x_3}, \quad (13)$$

where after rearranging the pressure terms, emerges the equivalent bulk modulus:

$$B_{eq}^{-1} = B^{-1} + \left[H_{33} (\mathbf{k}_\perp \cdot \mathbf{q})^2 - \mathbf{k}_\perp \cdot (\mathbf{H}_\perp \cdot \mathbf{k}_\perp) \right] / \omega^2, \quad (14)$$

which yields the following equation of mass conservation, where B_{eq} relates the compressibility effects of the equivalent fluid, accounting for anisotropic dependencies and oblique considerations:

$$i\omega B_{eq}^{-1} p = i\mathbf{k}_\perp \cdot \mathbf{q} v_3 + \frac{\partial v_3}{\partial x_3}, \quad (15)$$

and with Eq. (11b), they characterize the sound field in the layer Ω , with equivalent density H_{33}^{-1} and bulk modulus B_{eq} . They can be written using a state-vector formulation

$$\frac{d\mathbf{W}}{dx_3} = \mathbf{A}(x_3)\mathbf{W}, \quad (16)$$

where we have introduced the state vector $\mathbf{W} = \{p, v_3\}^T$ (with T the non-conjugate transpose), and the matrix

$$\mathbf{A}(x_3) = \begin{bmatrix} -i\mathbf{k}_\perp \cdot \mathbf{q} & i\omega H_{33}^{-1} \\ i\omega B_{eq}^{-1} & -i\mathbf{k}_\perp \cdot \mathbf{q} \end{bmatrix}. \quad (17)$$

At the interfaces Γ_0 and Γ_L between the anisotropic layer and the surrounding fluid, the continuity of pressure and normal velocity is imposed as a boundary condition. As a consequence, the state vector at both interfaces reads

$$\mathbf{W}_L = \left\{ \begin{array}{c} 1 + \tilde{R} \\ Z_e^{-1}(\tilde{R} - 1) \end{array} \right\} \quad \text{and} \quad \mathbf{W}_0 = \left\{ \begin{array}{c} \tilde{T} \\ -Z_e^{-1}\tilde{T} \end{array} \right\}, \quad (18)$$

with $Z_e = Z_0 / \sin(\theta)$ the apparent impedance of the air in domain Ω_0 with respect to the unit outward normal vector $\mathbf{n} = \mathbf{e}_3$ at interface Γ_L . Note that in the case where the layer is rigidly backed (absorption problem), the boundary term at Γ_0 simplifies to $\mathbf{W}_0 = \{p(0), 0\}^T$ since the Neumann condition involves zero normal velocity on the rigid layer backing.

III. SOLUTION PROCEDURES

The state-vector Eq. (16) can be solved using a variety of numerical techniques. In this section three different methods are presented. The well-known TMM is first described, then two other approaches are presented for continuously graded media.

A. Transfer matrix method

The heterogeneous fluid layer Ω can be approximated by a succession of N homogeneous layers. The propagation of the waves through each homogeneous layer can be solved exactly using the TMM.⁸ This approximation is accurate provided that the thickness of each homogeneous layer is small compared to the wavelength. We introduce the start- and end-points of the successive homogeneous layers as $x_3^{(i)}$ so that $x_3^{(0)} = 0$ and $x_3^{(N)} = L$. The state vectors on either sides of the i th homogeneous layer can be related as follows

$$\mathbf{W}(x_3^{(i+1)}) = \mathbf{M}(x_3^{(i+1)}, x_3^{(i)}) \mathbf{W}(x_3^{(i)}), \quad (19)$$

where \mathbf{M} is the matricant which can be written in terms of the constant matrix \mathbf{A}_i associated with the i th homogeneous layer:

$$\mathbf{A}_i = \mathbf{A} \left(\frac{x_3^{(i+1)} + x_3^{(i)}}{2} \right). \quad (20)$$

To do so, we first diagonalize this matrix by writing $\mathbf{A}_i = \mathbf{V}_i^{-1} \boldsymbol{\lambda}_i \mathbf{V}_i$ with $\boldsymbol{\lambda}_i$ the diagonal matrix of eigenvalues and \mathbf{V}_i the matrix of eigenvectors. The state-vector formulation Eq. (16) in the i th layer can be transformed into two decoupled ordinary differential equations:

$$\frac{d}{dx_3}(\mathbf{V}_i \mathbf{W}) = \lambda_i(\mathbf{V}_i \mathbf{W}). \quad (21)$$

These can be readily solved to obtain the matricant:

$$\mathbf{M}(x_3^{(i+1)}, x_3^{(i)}) = \mathbf{V}_i^{-1} \begin{pmatrix} e^{\lambda_i l_i} & 0 \\ 0 & e^{\lambda_{2i} l_i} \end{pmatrix} \mathbf{V}_i, \quad (22)$$

with $l_i = x_3^{(i+1)} - x_3^{(i)}$. This expression can be directly written as a matrix exponential:²⁰

$$\mathbf{M}(x_3^{(i+1)}, x_3^{(i)}) = \exp(\mathbf{A}_i l_i). \quad (23)$$

The overall transfer matrix \mathbf{M} relating the state vectors at the two interfaces Γ_0 and Γ_L is defined as the product of the matricants of all the homogeneous layers:

$$\mathbf{W}_L = \mathbf{M} \mathbf{W}_0, \quad \mathbf{M} = \prod_{i=0}^{N-1} e^{\mathbf{A}_i l_i}. \quad (24)$$

The discretization of domain Ω is chosen to be linear across $N = 40$ positions, and will serve as comparison with two different methods which follow.

B. Peano series

Another approach to solve Eq. (16) is to use the PS which have previously been used for continuously graded isotropic materials.⁹ In the homogeneous case, i.e., when \mathbf{A} is constant, the PS can be shown to be equivalent to the product of matrix exponentials in Eq. (24). In the present case of x_3 dependent properties, the matrix \mathbf{A} does not commute with itself for different values of x_3 , so $\forall (x_3', x_3'') \in [0, L]^2, x_3' \neq x_3'', [\mathbf{A}(x_3') \mathbf{A}(x_3'') - \mathbf{A}(x_3'') \mathbf{A}(x_3')] \neq 0$ and the matricant is no longer defined by matrix exponentials, but rather by the Peano series. Using this formalism, the matricant \mathbf{M} defined by Eq. (24) is written as an infinite series of integrals:^{18,20}

$$\begin{aligned} \mathbf{M}(0, x_3) = & \mathbb{I}_d + \int_0^{x_3} \mathbf{A}(\xi) d\xi \\ & + \int_0^{x_3} \mathbf{A}(\xi) \int_0^\xi \mathbf{A}(\zeta_1) d\zeta_1 d\xi + \dots \end{aligned} \quad (25)$$

In practice this is calculated through the use of the following recurrence relations,¹¹

$$\begin{cases} \mathbf{M}^{\{0\}}(0, L) = \mathbb{I}_d \\ \mathbf{M}^{\{n\}}(0, L) = \mathbb{I}_d + \int_0^L \mathbf{A}(x_3) \mathbf{M}^{\{n-1\}}(x_3) dx_3 \end{cases} \quad (26)$$

and the state vector relation at both interfaces now reads

$$\mathbf{W}_L = \lim_{n \rightarrow \infty} \mathbf{M}^{\{n\}}(0, L) \mathbf{W}_0. \quad (27)$$

An approximate solution is obtained by truncating this infinite series. In fact, unlike the TMM where the matricant of the system is assembled piece by piece, each term of the integral series accounts for the whole domain $0 < x_3 < L$.

The integral itself is estimated by the trapezoidal method at each iteration, using the same unit spacing L/N . Hence, any additional term of the truncated series tends to refine the solution given by this method. The recurrence relation is chosen to be expanded up to 50 terms, a sufficient number for the series to converge.

C. Wave-splitting, transfer Green's functions

The wave-splitting method relies on the separation of the overall acoustic field into forward and backward propagative waves.^{14,18} Since the effective properties of the medium are inhomogeneous along x_3 , the wave-splitting applied in the current paper is not related to Ω , but rather with respect to the domain Ω_0 .^{15,16} The wave-splitting matrix is independent of the graded parameters (tensorial density ρ and equivalent bulk modulus B_{eq}), which ensures the split fields to be continuous across any x_3 -plane in the medium Ω .¹⁷ These are defined as $s^\pm = (p \pm Z_e \mathbf{v} \cdot \mathbf{n})/2$ where the \pm sign indicates the direction of propagation relative to the unit vector \mathbf{n} . Although they only have a physical sense in Ω_0 according to the wave-splitting transformation, the associated change of basis remains valid. It is then possible to introduce a new vector $\mathbf{S} = \{s^+, s^-\}^T$ which is related to the original vector \mathbf{W} by

$$\mathbf{S}(x_3, \omega) = \mathbf{Z} \mathbf{W}(x_3, \omega), \quad \text{with } \mathbf{Z} = \frac{1}{2} \begin{bmatrix} 1 & Z_e \\ 1 & -Z_e \end{bmatrix}. \quad (28)$$

Introducing this definition in the state vector formulation Eq. (15), it is straightforward to obtain

$$\frac{d}{dx_3} \mathbf{S} = \mathbf{B}(x_3) \mathbf{S}, \quad (29)$$

with

$$\mathbf{B}(x_3) = \mathbf{Z} \mathbf{A}(x_3) \mathbf{Z}^{-1} = \begin{bmatrix} U^+ & U^- \\ -U^- & -U^+ \end{bmatrix} - i(\mathbf{k}_\perp \cdot \mathbf{q}) \mathbb{I}_d, \quad (30)$$

\mathbb{I}_d being the identity matrix, and

$$U^\pm(x_3, \omega) = \frac{i\omega}{2} \left[Z_e B_{eq}^{-1}(x_3, \omega) \pm H_{33}^{-1}(x_3, \omega) Z_e^{-1} \right].$$

The differential equations Eq. (29) can be solved using the transfer Green's functions (TGF)¹⁹ method by writing the forward and backward internal fields in terms of the transmitted wave $s^-(0, \omega)$ as follows:

$$s^\pm(x_3, \omega) = G^\pm(x_3, \omega) s^-(0, \omega),$$

where G^\pm denote the two Green's functions. They are solutions of the following differential equations

$$\frac{d\mathbf{G}}{dx_3} = \mathbf{B}\mathbf{G}, \quad (31)$$

with $\mathbf{G} = \{G^+, G^-\}$.

In the case of an absorption problem (rigid backing at Γ_0), the boundary condition for the Green's functions Eq. (30) reads $\mathbf{G}_0 = \{1, 1\}$ as a total specular reflection. In the case of a transmission problem, we must have a total transmission at the interface Γ_0 , corresponding to $\mathbf{G}_0 = \{1, 0\}$. The fluid layer heterogeneity being of macroscopic scale (the order of L), the spatial discretization is easily achieved. The continuous graded properties along x_3 in the domain Ω are split linearly into $N = 40$ positions. The differential system of equations, Eq. (30) is solved numerically.

IV. RESULTS AND DISCUSSIONS

This section deals with the numerical validation of the proposed models. The scattering coefficients are retrieved with all three different methods and applied to an heterogeneous anisotropic porous material.

A. Scattering coefficients

With the TMM and the PS, the reflection and transmission coefficients are readily available as part of the solution procedures. From the relation $\mathbf{W}_L = \mathbf{M}\mathbf{W}_0$ from Eq. (24) one can derive the following expressions for these coefficients:

$$\tilde{T} = 2Z_e^{-1} [Z_e^{-1} \text{Tr}(\mathbf{M}) - Z_e^{-2} M_{12} - M_{21}]^{-1}, \quad (32a)$$

$$\tilde{R} = M_{11} \tilde{T} - Z_e^{-1} M_{12} \tilde{T} - 1, \quad (32b)$$

where $\text{Tr}(\mathbf{M})$ is the trace of the square matrix \mathbf{M} and M_{ij} are the coefficients of the matrix. Note that \tilde{R} and \tilde{T} are functions of the angular frequency ω and the incidence angles (the polar and elevation angles ψ and θ , respectively).

For the wave-splitting method, the reflection and transmission coefficients are recovered from the solutions for the Green's functions G^+ and G^- as follows:¹⁴⁻¹⁷

$$\tilde{T} = 1/G^-(0), \quad (33a)$$

$$\tilde{R} = G^+(L)/G^-(L). \quad (33b)$$

To quantify the acoustic dissipation inside the layer Ω we calculate the absorption coefficient. As mentioned earlier, as the scattering coefficients depend from the direction of incidence, the absorption coefficient follows the same dependency,

$$\alpha^\pm(\omega) = 1 - |\tilde{R}^\pm(\omega)|^2 - |\tilde{T}(\omega)|^2. \quad (34)$$

It will vary between 0 and 1 and can also be calculated when the layer is rigidly backed so $\tilde{T} = 0$. The different computing methods have been compared to the transfer matrix method. For a similar spatial sampling (linear with $N = 40$) the relative error between each method is below 0.2% and the average computation time per frequency is $t_{\text{tgf}} \approx 0.21$ s for Green's functions (and mainly depends on absolute and relative tolerances of the numerical integration), while $t_{\text{ps}} \approx 0.05$ s for 50 terms of Peano series and $t_{\text{tmm}} \leq 0.01$ s for TMM. These results are obtained by averaging the computing time over 100 frequency points, the overall comparison for the three different methods can also be done in parallel. Moreover, other

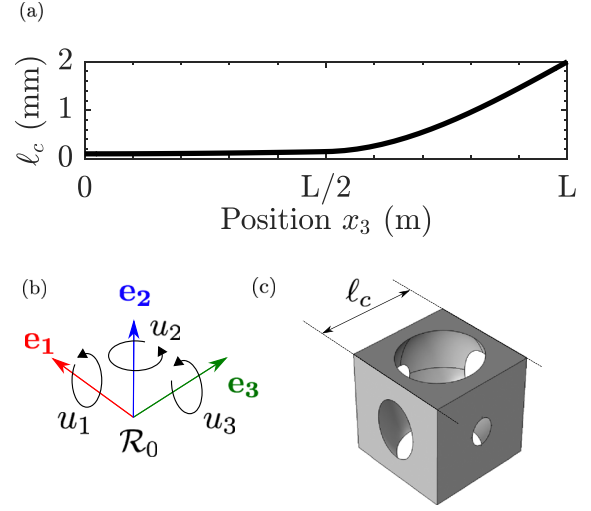


FIG. 2. (Color online) (a) Variation of the unit cell size ℓ_c along the depth of the porous material layer between Γ_0 and Γ_L . (b) Cartesian coordinate system \mathcal{R}_0 with its associated orthonormal basis $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$ and the rotation angles (u_1, u_2, u_3) . (c) Unit cell for the periodic anisotropic porous material. Shown here is the rigid skeleton and a fluid region formed by a body-centered ellipsoid with semi-axes $r_1 = 0.51\ell_c$, $r_2 = 0.7\ell_c$, and $r_3 = 0.55\ell_c$.

numerical differentiation procedures can be set up to reach the scattering coefficients, such as Runge-Kutta schemes.¹⁴

B. Porous material

The anisotropic fluid layer considered as an example in the present work is a periodic porous material. The unit cell that is periodically distributed to form this periodic material is a rigid cube of length ℓ_c from which an ellipsoid with semi-axes of different lengths is carved out, see Fig. 2(c). The effective properties of this unit cell are obtained using the multiple-scale method outlined in Refs. 2 and 7. The resulting parameters of the JCAL model¹ are listed in Table I as functions of the unit cell size ℓ_c and in the coordinate system \mathcal{R}_0 . Some of these parameters are scalar quantities (porosity ϕ , characteristic thermal length Λ' and static thermal permeability \mathcal{K}'_0) while others are tensorial (high-frequency tortuosity τ^∞ , characteristic viscous length Λ and static viscous permeability \mathcal{K}_0).

To obtain an inhomogeneous material the unit cell size ℓ_c is varied along the x_3 direction. As a consequence the effective JCAL parameters will also vary along this direction. The profile chosen as an example in this work is the ‘‘ramp’’ shown in Fig. 2(a). The unit cell size ℓ_c is varied continuously from 0.1 mm at the base of the layer ($x_3 = 0$) to 2 mm at the top of the layer ($x_3 = L$). This profile was chosen to achieve an impedance matching between the

TABLE I. Homogenized JCAL parameters for the anisotropic unit cell with characteristic size ℓ_c in the coordinate system \mathcal{R}_0 .

	ϕ (1)	Λ' (m)	\mathcal{K}'_0 (m ²)	τ^∞ (1)	Λ (m)	\mathcal{K}_0 (m ²)
Ω	0.7210	$0.533 \ell_c$	$0.0214 \ell_c^2$	—	—	—
\mathbf{e}_1	—	—	—	2.987	$0.129 \ell_c$	$5.74 \cdot 10^{-4} \ell_c^2$
\mathbf{e}_2	—	—	—	1.089	$0.448 \ell_c$	$1.56 \cdot 10^{-2} \ell_c^2$
\mathbf{e}_3	—	—	—	1.487	$0.273 \ell_c$	$4.83 \cdot 10^{-3} \ell_c^2$

exterior domain and the porous material. The layer thickness is $L = 50$ mm and achieves perfect absorption at the frequency $f_0 = 2500$ Hz.

C. Influence of wave incidence

We begin by considering the case of a plane wave at oblique incidence with $\mathbf{k}^i = (k_1, k_2, k_3)$. Results are shown for an absorption problem, when the layer is rigidly backed at Γ_0 . Figure 3(a) shows the absorption coefficient as a function of frequency between 100 Hz and 5 kHz. The second axis spans the values of elevation angle, while the polar angle of incidence is $\psi = 0$ in Fig. 3(a) and $\psi = \pi/2$ in Fig. 3(b). While the absorption is limited at low frequency, this material is able to achieve a perfect absorption ($\alpha = 1$) for a frequency close to $f_0 = 2500$ Hz. However, we can observe a notable change in the absorption depending on the polar angle of incidence. Figure 3(c) also shows that the three solution procedures presented here (namely, the TMM, PS, and TGF) are in excellent agreement over the whole range of frequencies. Figure 3(d) shows the evolution of the forward and backward components $s^\pm(x_3)$ in the layer Ω for the frequency where the perfect absorption f_0 is achieved. It is clear that the magnitude of the backward wave $s^+(x_3, \omega) = (p + Z_e v_3)/2$ vanishes on the upper side of the layer ($x_3 = L$), which is consistent with the fact that there are no reflected wave at this frequency. Also visible in Fig. 3(d) is the strong absorption of the forward propagating component s^-

when it reaches the more resistive part of the porous layer (i.e., where ℓ_c is small). Concerning the dependence of α with the elevation angle θ , the system tends towards total reflection for grazing incidences and the anisotropic properties of the fluid layer are clearly visible, as shown in Figs. 3(e) and 3(f).

D. Effects of anisotropic coupling

In the results above, the unit cell has been aligned with the coordinate system, as shown in Fig. 3. To illustrate the effects of the anisotropy of the material, one can rotate the unit cell using the expression given in Eq. (3). This is shown in Fig. 4 for the absorption coefficient α . Depending on the rotation components (u_1, u_2, u_3) involved in the density tensor, the acoustic behavior of the fluid layer is significantly impacted, especially at high frequencies.

To provide further insight into the losses occurring within the layer, we derive the balance of acoustic energy in an anisotropic fluid. From the governing Eq. (1) in Ω , one can derive

$$i\omega \mathbf{v}^* \cdot \rho \mathbf{v} - \mathbf{v}^* \cdot \nabla p = 0, \quad (35a)$$

$$i\omega B^{-1} |p|^2 - \bar{p} \nabla \cdot \mathbf{v} = 0, \quad (35b)$$

where we have introduced the conjugated transposed velocity \mathbf{v}^* and the conjugated pressure \bar{p} . As depicted in Eq. (3),

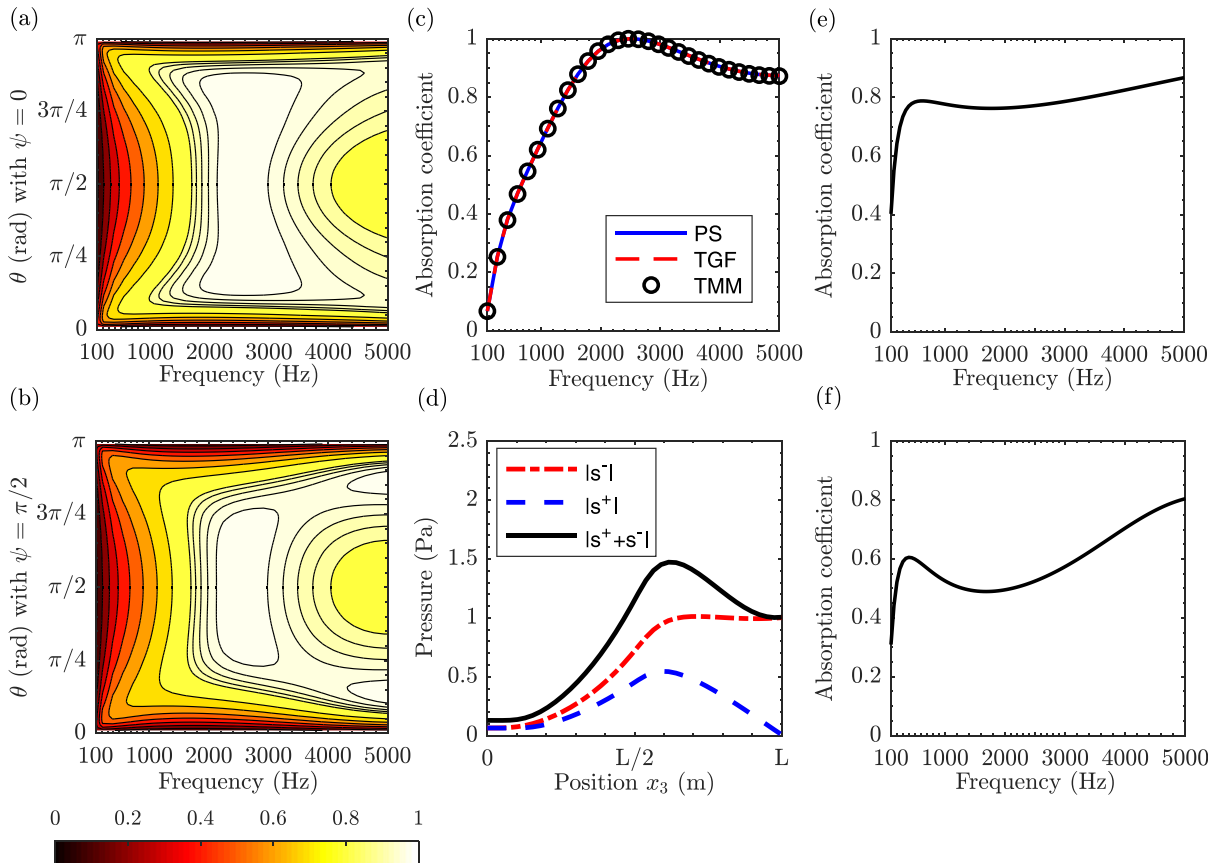


FIG. 3. (Color online) Absorption coefficient at oblique incidence, on the frequency range 100 Hz – 5 kHz and for elevation angle θ from 0 to π , with $\psi = 0$ (a) and $\psi = \pi/2$ (b). (c) Absorption coefficient at normal incidence, on the same frequency range, using the TMM, PS, and TGF methods. (d) Magnitude of the split fields \mathbf{S} in the porous layer, for perfect absorption frequency f_0 at normal incidence. Absorption coefficient at grazing incidence, on the frequency range 100 Hz – 5 kHz and for elevation angle $\theta = \pi/20$, with $\psi = 0$ (e) and $\psi = \pi/2$ (f).

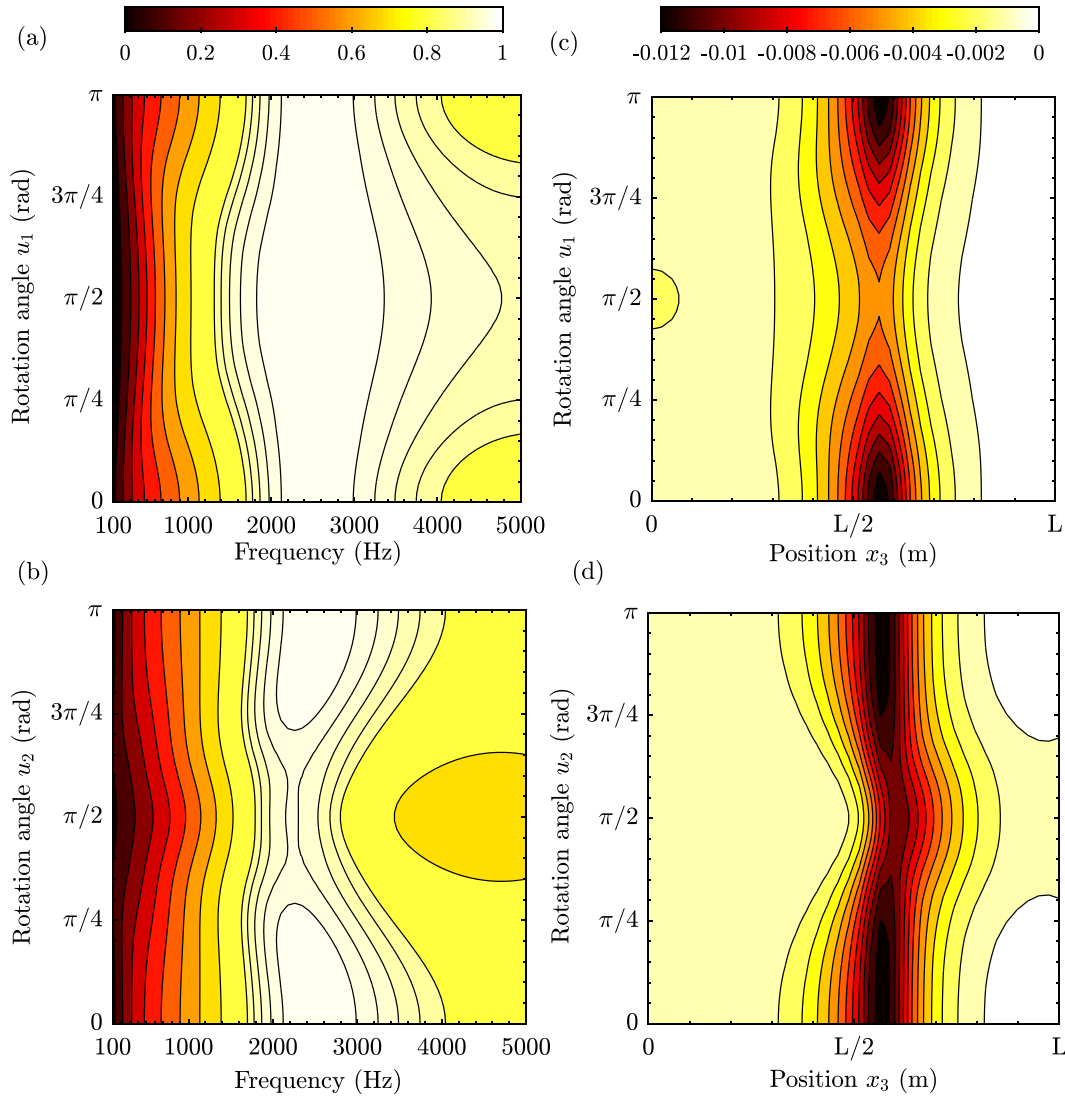


FIG. 4. (Color online) Absorption coefficient at normal incidence as a function of the circular frequency ω , the rotation angle u_1 in (a) and u_2 in (b). Energy dissipation rate at normal incidence between $x_3 = 0$ and L for rotation angles u_1 (c) and u_2 (d), from 0 to π at the perfect absorption frequency f_0 .

the density tensor is complex and symmetric, and emerges from the dynamic viscous permeability of the medium Ω . It can be split into its complex components from the Toeplitz decomposition²¹ so, $\boldsymbol{\rho} = \boldsymbol{\rho}_R + i\boldsymbol{\rho}_I$ with $\boldsymbol{\rho}_R = (\boldsymbol{\rho} + \boldsymbol{\rho}^*)/2$ and $\boldsymbol{\rho}_I = (\boldsymbol{\rho} - \boldsymbol{\rho}^*)/2i$. In the general case of a non-symmetric $\boldsymbol{\rho}$ tensor, both Hermitian matrices $\boldsymbol{\rho}_R$ and $\boldsymbol{\rho}_I$ remain complex-valued, however, in our case of symmetric tensor density, $\boldsymbol{\rho}_R$ and $\boldsymbol{\rho}_I$ are real-valued. Taking the sum of both of the Eqs. (35) yields

$$\begin{aligned} \frac{1}{2}(i\omega)\left(\mathbf{v}^*(\boldsymbol{\rho}_R + i\boldsymbol{\rho}_I)\mathbf{v} + B^{-1}|p|^2\right) \\ = \frac{1}{2}(\mathbf{v}^* \cdot \nabla p + \bar{p} \nabla \cdot \mathbf{v}), \end{aligned} \quad (36)$$

which after expansion of the complex terms reads

$$\begin{aligned} \frac{1}{2}\omega(i\mathbf{v}^* \boldsymbol{\rho}_R \mathbf{v} - \mathbf{v}^* \boldsymbol{\rho}_I \mathbf{v} + iB^{-1}|p|^2) \\ = \frac{1}{2}(\mathbf{v}^* \cdot \nabla p + \bar{p} \nabla \cdot \mathbf{v}). \end{aligned} \quad (37)$$

Now considering the real part of this equality, it yields to the time average of the acoustic instantaneous intensity,²² as the products $\mathbf{v}^* \boldsymbol{\rho}_R \mathbf{v}$ and $\mathbf{v}^* \boldsymbol{\rho}_I \mathbf{v}$ are real-valued,

$$\frac{1}{2}\omega(\mathbf{v}^* \boldsymbol{\rho}_I \mathbf{v} + \text{Im}\{B^{-1}\}|p|^2) = -\frac{1}{2}\text{Re}\{\mathbf{v}^* \cdot \nabla p + \bar{p} \nabla \cdot \mathbf{v}\}, \quad (38)$$

where from the product rule of the divergence we now reach

$$\nabla \cdot \left(\frac{1}{2} \text{Re}\{\mathcal{P}\} \right) = -\frac{1}{2}\omega(\mathbf{v}^* \boldsymbol{\rho}_I \mathbf{v} + \text{Im}\{B^{-1}\}|p|^2). \quad (39)$$

The left-hand side of this equation is the divergence of the Poynting vector $\mathcal{P} = p\mathbf{v}^*$; since the porous layer is purely lossy, we expect this term to be strictly negative. This quantity is homogeneous to the dissipation rate of acoustic energy at each infinitesimal point $x_3 \in \Omega$ and is expressed in W m^{-3} . Although, it is estimated as only dependent of the normal direction x_3 since the acoustic fields in Eq. (10) show an harmonic spatial dependence.

It highlights the role of the coupling vector \mathbf{q} and its effect on the fully anisotropic behavior of such medium. The total energy lost in the system can be retrieved by spatial integration between boundaries Γ_0 and Γ_L . As all three components of the particle velocity are involved, the transverse part of \mathbf{v} is derived from Eqs. (11a) and (11b).

Inside the domain Ω , the transverse components of particle velocity read

$$\mathbf{v}_\perp = (\mathbf{H}_\perp \cdot \mathbf{k}_\perp - H_{33}\mathbf{q}(\mathbf{k}_\perp \cdot \mathbf{q}))p/\omega + v_3\mathbf{q}. \quad (40)$$

It is worth noting that even at normal incidence, with $\mathbf{k}_\perp = (0, 0)$, the coupling still occurs from the term $v_3\mathbf{q}$. In order to illustrate this effect, Fig. 4 shows the absorption coefficient when the fluid is taken out of its principal directions. Also considering normal incidence and with $\mathcal{R}_0 \equiv \mathcal{R}_\Omega$, a sole rotation around \mathbf{e}_3 cannot impact the acoustic properties of the fluid. First, the dependence on the rotation angle around \mathbf{e}_1 is shown in Fig. 4(a), which is π -periodic. Then on Fig. 4(b) the absorption coefficient varies as the cell is rotated around the \mathbf{e}_2 unit vector.

As depicted in Eq. (37), the estimated dissipation rate directly depends on the rotations applied to the density tensor. Figures 4(c) and 4(d) display the estimated dissipation inside the domain Ω , at frequency f_0 . As previously, the dependence on the rotation angles (u_1, u_2) affects the losses in the fluid, hence on the absorption properties. We notice that most of the energy losses in the domain are localized where the pore size becomes small, which is correlated to the total pressure profile in Fig. 3(d).

E. Diffuse field absorption

Instead of a single wave with a specific incidence angle, one can also consider a diffuse field where all wave directions are present, but uncorrelated with the same intensity. The corresponding absorption coefficient accounts for the absorption averaged over all possible angles of incidence:

$$\alpha_{dif}(\omega) = \frac{1}{2\pi} \int_0^\pi \int_0^\pi \alpha(\omega, \theta, \psi) \cos(\theta) d\theta d\psi, \quad (41)$$

with $(\theta, \psi) \in [0, \pi]^2$ and angular frequency ω . The averaging process is done accounting for the solid angle associated to each direction of incidence, which induces the weight $\cos(\theta)$. This diffuse field absorption coefficient is shown in Fig. 5 as a function of frequency using 400 plane wave directions to compute the average. As pictured in Fig. 5, the graded anisotropic materials are able to provide good diffuse absorption over a wide range of frequencies. However its absorption is limited at low frequencies. Unlike the absorption of the plane wave at normal incidence which is perfect around 2500 Hz (see Fig. 3), the diffuse field case is unable to reach a perfect absorption. This is explained by the contributions of the plane waves with grazing incidence which can only be partially absorbed. But as oblique incidences weight a lot in this considerations, the anisotropic properties firmly impact the diffuse field absorption coefficient.

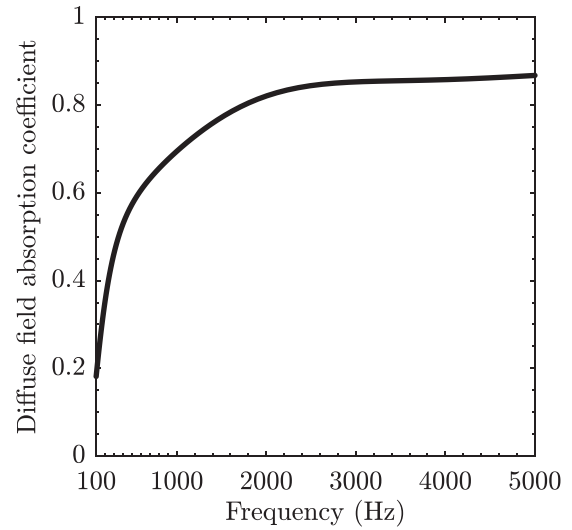


FIG. 5. Diffuse field absorption coefficient as a function of frequency.

V. CONCLUSIONS

In this work, the propagation of acoustic waves through a graded layer of anisotropic fluid has been modeled to calculate the transmission and reflection coefficients. This approach is applicable to a wide range of porous materials that are described by their effective bulk modulus and density tensor, and in this case is developed for non-symmetric heterogeneous systems. Three different numerical techniques have been presented and compared to solve for the sound field in such a layer. Two of the solution procedures account for the continuous macro-modulated effective properties of the anisotropic medium, and altogether show excellent agreement with the more traditional TMM approach. In addition, the knowledge of the pressure and velocity fields inside the anisotropic fluid provides useful insight into the losses occurring within the layer.

The dependence of the absorption coefficient with frequency (over the range 100 Hz–5 kHz), angles of incidence, and orientation of the micro-structure has been discussed in detail. All the results demonstrate the complex interplay between these parameters and the fact that the anisotropy plays a significant role in the absorption achieved by this kind of material. The absorption of a diffuse field was also considered.

The use of anisotropic and heterogeneous materials drastically enhances the potential for efficient acoustic control in scattering and absorption problems. The next step for this topic would be to perform a full optimization of both the anisotropy and the heterogeneity of a porous layer, so as to maximize the acoustic absorption in specific applications.

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