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# Testing a bead-rod contact with a nonlinear resonance method

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# ABSTRACT

We study the dynamics of an elastic structure composed of a cylindrical rod in contact with a bead at one extremity. Wave propagation within the cylindrical rod is considered linear and dispersionless while the bead-rod contact shows a highly nonlinear behavior as expected from the Hertz's model of contact. The resonance curves of the nonlinear contact depend on the excitation amplitude, where a downshift of the resonance frequency with increasing excitation amplitude is observed. The prediction of the resonance frequency shift by the Hertz's model is compared to the experimental results and shows a disagreement. A better agreement is found by considering the losses with a viscoelastic model, namely the Kuwabara and Kono or Brilliantov model. The observation of the nonlinear effects linked to the resonance of the mass-spring system can lead to the design of nonlinear elastic metamaterials, where the wave propagation is controlled by nonlinear isolated resonances.

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#### 1. Introduction

In studying granular materials, especially when considering the wave dynamics, the definition of the contact law between the particles is of primary importance. The elastic component of the normal contact force between two elastic spheres, without solid bridge between them, is well described in acoustics by the Hertz's contact law [1], which remains relevant in many cases [1,2]. In this model, the relation between the force *F* and the overlap between the two spheres  $\delta$  scales as  $F \propto \delta^{3/2}$ , which represents a highly nonlinear relation that purely comes from the geometry of the problem. In addition to the nonlinear behavior, another consequence of the Hertz's model is the low equivalent moduli of the contact compared to the elastic parameters of the material constituting the bodies in contact. As a result, the velocities of acoustic waves in granular media are small compared to the velocities of acoustic waves propagating in the bulk of elastic solids. The problem of one bead in contact with a homogeneous elastic medium can be viewed, at frequencies much below the first spheroidal resonance of the bead, as the problem of a rigid mass connected to the elastic medium by a nonlinear stiffness. This bead in contact can therefore be considered as a nonlinear isolated resonance of this mass-spring system at frequencies where the incoming wavelength in the elastic medium is much larger than the diameter of the bead.

The wave propagation within an elastic solid can be controlled by the interaction of the elastic wave with nonlinear isolated resonators, namely elastic spheres in contact. These features have been used to design an acoustic rectifier [3], tunable functional switches [4], tunable phononic crystals [5] or to study the attenuation of surface waves in a colloidal based metamaterial [6,7]. Nevertheless, the fine design of a metamaterial based on the nonlinear behavior of granular materials requires a quantitative agreement between the model of contact and the actual experimental behavior.

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**Fig. 1.** (a) Picture and (b) schematics of the experimental setup. (c) Example of measured displacements of the bead  $\delta_a$  normalized by the static overlap  $\delta_0$  predicted by the Hertz model, see Sec. 4.1, at different amplitudes of excitation. The resonance frequency depends on the amplitude of excitation and at the larger amplitudes on whether the excitation frequency sweep is performed from low to high frequencies or from high to low frequencies. (d) Experimental protocol of excitation amplitude. Example of one measurement protocol with the resonance frequency (e) in the linear regime and (f) in the nonlinear regime, where the curves have been shifted for more visibility in both cases. The dashed curves correspond to the data removed from the analysis.

The comparison of the predictions of the contact models with experimental measurements have essentially been performed by studying the collision process and the coefficient of restitution of a bouncing ball (see Refs. [8–12] and the references therein). The problem of collision between two particles has large implications, from astrophysics (Saturn's rings and planet formation for instance) to industrial processes (granulation, handling of fine powders to name a few) and numerous models have been proposed (see Refs. [10,11] and the references therein).

Here, we propose a different approach to study the granular contact restraining ourselves to the case of elastic or viscoelastic contact, which are relevant in the case of acoustic wave propagation (small oscillating or pulsed strains). We consider the case of one bead in contact with a homogeneous elastic solid and which is maintained in contact only by its own weight. This structure presents a resonance frequency and can be considered as a nonlinear isolated elastic resonance. The resonance frequency depends on the amplitude of excitation as it can be seen in Fig. 1(c), where the resonance frequency is decreasing with increasing excitation amplitude. It offers the possibility to compare the experimental results of the widely observed nonlinear effect of resonance frequency shift with the predictions of the theoretical models and conclude whether the latter capture correctly this feature. Others nonlinear effects related to the nonlinear behavior of the contact such as harmonic generation can be observed experimentally. These effects are not further investigated in the present work. The experimental setup, which is shown in Fig. 1(a) and (b), is reduced to its simplest form and the unknown parameters are therefore limited. The amplitude-dependence of the resonance frequencies of granular media has been previously used to characterize the compaction of a granular assembly [13,14]. In addition, nonlinear elastic resonances are typical in geomaterials [15], cracked solids and more generally mesoscopic materials containing internal solid contacts [16,17], and for this reason have been also widely studied in the context of ultrasonic non destructive testing [18–21].

This article is organized as follows. In Sec. 2, the experimental setup is described. In Sec. 3, the modeling of the wave propagation in the elastic rod is reviewed. In Sec. 4, the theoretical models to be compared to the experimental results are presented while in Sec. 5, the theoretical predictions of the resonance frequency shift by each contact model are derived. Then, in Sec. 6, the theoretical predictions are compared to the experimental results and finally, in Sec. 7, the obtained results including difficulties arising with the analysis of the *Q* factors are discussed.

#### 2. Experimental setup

The acoustic wave is excited on one side of a cylindrical rod by a piezoelectric transducer (a longitudinal *Panametrics-NDT* V1011), which is coupled to the rod with honey. On the other side, the rod is in contact with a spherical bead, which is maintained only by its own weight as shown in Fig. 1(a) and (b). The rod is made of stainless steel with a Poisson's ratio of v = 0.27, a mass

density  $\rho = 7.7 \cdot 10^3$  kg m<sup>-3</sup>, a Young's modulus in the range E = 190-210 GPa, a yield stress of Y = 6.12 GPa, a surface energy  $\gamma = 0.7$  J m<sup>-2</sup> and an equilibrium separation  $z_0 = 4 \cdot 10^{-10}$  m [2,22]. The bead is also made of stainless steel, and its material parameters are assumed to be the same as those of the rod. The length of the rod is  $l_{rod} = 10$  cm, its diameter is  $a_r = 12$  mm. The role of the rod is to ensure a contact between two bodies constituted of similar materials and to ensure that the acoustic wave is plane and longitudinal. The first acoustic longitudinal resonance of the rod is measured at 8.5 kHz (the interface between the rod and the piezoelectric transducer is neither purely rigid nor free, that is why this first resonance cannot be predicted easily with the sound velocity and the length of the rod). The radius of the bead is  $r_{bead} = 7.938$  mm. The section of the rod in contact with the bead has been polished to enhance the reproducibility of the results. The roughness of the bead is ~25 nm. The dynamic displacement of the bead is measured by a laser vibrometer. The excitation and acquisition are performed using a Stanford SR 785 analyzer in a swept sine mode from 1.5 to 3.5 kHz, from low to high frequencies and from high to low frequencies, as excitation signal. The amplitude of the excitation signal follows the protocol shown in Fig. 1(d).

The first measurement is performed at very low amplitude of excitation in order to determine the resonance frequency in the linear regime. The resonance frequency found from this first measurement is considered as the reference resonance frequency  $f_0$  for the protocol. The amplitude of excitation is then increased step by step, and between each step, the measurement at low amplitude is performed again in order to monitor the evolution of the linear resonance frequency  $f_0(n)$ . If the shift  $\Delta f_0 = [f_0(n) - f_0]/f_0$  of the measured linear resonance frequency compared to the reference frequency is larger than 2%, the following (higher amplitude) measurements of the protocol are removed from the analysis. It is assumed that a value of  $\Delta f_0$  larger than 2% is a signature of a change of state of the system, which can come from a change of position of the bead at the top of the rod during the preceding phase of high amplitude excitation. The experimental dataset is composed of 28 amplitude protocols. An example of the results obtained from one measurement protocol is shown in Fig. 1(e) and (f).

# 3. Wave propagation in rods

The compressional wave propagation takes place in the rod. The plane wave propagation is assumed when the radius of the rod is much smaller than the wavelength. Thus, the considered frequency range is assumed to be at low frequencies, i.e.,  $\omega/(2\pi) < V_T/(2\pi a_r) \simeq 40$  kHz where  $\omega$  is the cyclic frequency and  $V_T$  is the transverse wave velocity in the material constituting the rod, and the wave is considered as longitudinal and the propagation unidimensional. The dispersion relations of the longitudinal modes can be found by numerically solving Pochhammer-Chree equation [23]

$$2p(q^{2} + k^{2})J_{1}(pa_{r})J_{1}(qa_{r})/a_{r} - (q^{2} - k^{2})^{2}J_{0}(pa_{r})J_{1}(qa_{r}) - 4k^{2}pqJ_{1}(pa_{r})J_{0}(qa_{r}) = 0,$$
(1)

where  $q^2 = \omega^2 / V_T^2 - k^2$ ,  $p^2 = \omega^2 / V_L^2 - k^2$ , k is the wave number of the compressional wave determined by Eq. (1),  $J_n$  are Bessel function of the first kind and order n, and  $V_L$  is the velocity of longitudinal wave in the material constituting the rod. At low frequencies, the dispersion of the first longitudinal mode remains small and the group and phase velocities  $v_g$  and  $v_{\phi}$ , respectively, can be found from the Rayleigh's equation

$$\nu_g \simeq \nu_\phi \simeq \sqrt{\frac{E}{\rho}} \left[ 1 - \nu \left(\frac{ka_r}{2}\right)^2 \right],\tag{2}$$

where *E* is the Young's modulus of the material constituting the rod,  $\rho$  and v, its mass density and its Poisson's ratio, respectively. The group velocity of the first longitudinal mode is estimated to be  $v_g = 4950-5205$  m. s<sup>-1</sup> as shown in Fig. 2. Considering the frequency range of the excitation signal and as can be seen in Fig. 2, the dispersion is negligible, the compressional wave velocity in the rod is considered constant and the smallest wavelength of the compressional wave in the rod is then  $\lambda_r = 1.41-1.49$  m, which is much larger than the size of the bead.

# 4. Contact models

#### 4.1. Hertz model

Following the Hertz theory of contact [1,24], the overlap  $\delta$  between two spheres, with a radius  $r_1$  and  $r_2$ , in contact under a force *F* is

$$\delta = \left[\frac{3}{4} \frac{F}{E^* R^{1/2}}\right]^{2/3}, \text{ and } F = 4E^* R^{1/2} \delta^{3/2} / 3, \tag{3}$$

where R is the relative radius of the contact which is deduced from

$$\frac{1}{R} = \frac{1}{r_1} + \frac{1}{r_2},\tag{4}$$

and

$$\frac{1}{E^*} = \frac{1 - v_1^2}{E_1} + \frac{1 - v_2^2}{E_2},\tag{5}$$



**Fig. 2.** Group velocity of the first longitudinal mode from the Pochhammer-Chree equation resolution with E = 210 GPa (blue dots) and E = 190 GPa (green dots) and from the Rayleigh's equation with E = 210 GPa (red continuous curve) and E = 190 GPa (orange continuous curve). (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

where  $E_{1,2}$  and  $v_{1,2}$  are the Young's modulus and Poisson's Ratio, respectively, of the beads 1 and 2. In our case, the bead of radius  $r_1$  is in contact with a plane surface. This sphere-plane contact can be modeled considering that the radius  $r_2$  tends to infinity and consequently  $R = r_1$ . The amplitude-dependent normal stiffness  $K_N$  of the contact is obtained from the force-displacement relation in Eq. (3) with

$$K_{\rm N} = \partial F / \partial \delta = 2E^* (R\delta)^{1/2}.$$
(6)

The overlap  $\delta$  can be decomposed into a static overlap  $\delta_0$ , resulting here from the applied static force induced by the weight of the bead, and a dynamic overlap  $\delta_d = \delta_a \sin(\tau)$  with  $\tau = \omega t$ , which is due to the acoustic wave. At the first order of approximation and by considering that the amplitude of the acoustic overlap  $\delta_a$  is much smaller than the static overlap  $\delta_0$  i.e.,  $\delta_a \ll \delta_0$ , the contact between the bead and the rod can be approximated by the normal linear stiffness

$$K_0 = \frac{\partial F}{\partial \delta}\Big|_{\delta_0} = 2E^* (R\delta_0)^{1/2}.$$
(7)

From the normal linear stiffness, the linear resonance frequency of the mass-spring system can be found for a mass  $m_b$  of the bead with  $f_0 = (K_0/m_b)^{1/2}/(2\pi)$ . The static external force  $F_0$  applied on the contact is only due to the weight of the bead, no additional normal force is introduced. The static overlap, linear stiffness and linear resonance frequency are predicted to be, considering the range of values of Young's Modulus,  $\delta_0 = 55-50$  nm,  $K_0 = 4.3 \cdot 10^6 - 4.6 \cdot 10^6$  N m<sup>-1</sup> and  $f_{res}^{Hertz} = 2.60 - 2.70$  kHz.

#### 4.2. Viscoelastic model

In the numerous studies on the collision between two particles, dissipative forces are added to the purely elastic contact model from Hertz. Dissipation at the contact level can come from different mechanisms that contribute to the energy losses. These mechanisms of energy losses are for instance the radiation of acoustic waves in the two bodies, the possible plastic deformation, the losses due to the viscoelastic behavior of the material constituting the beads among others [25]. Only the losses due to viscoelasticity are considered in this model by assuming that there is no plastic deformation at the contact and by neglecting the acoustic energy radiation [25,26]. To assess if potential plastic deformations have to be expected in our experiment, a limiting force  $F_l$ , above which plastic deformations start to occur, can be evaluated. A criteria is empirically defined for the maximum pressure applied on the contact as  $p_l = 1.6Y$  [1,2], where Y is the yield stress of the material. The maximum pressure applied on the contact  $p_m$  is related to the applied force with  $p_m = [24FE^{*2}/(\pi^3 R^2)]^{1/3}$ , which finally gives the estimation of the limiting force  $F_l = 1.6^3 \pi^3 Y^3 R^2/(24E^{*2}) \simeq 5.9 \cdot 10^3 - 7.2 \cdot 10^3$  N [1,2]. This is much larger than the estimated maximum dynamic force at 3 kHz  $F_d = m_b \omega^2 \delta_0 \simeq 0.29 - 0.32$  N, which is on the same order than the static force  $F_0 \simeq 0.16$  N applied here. To evaluate the importance of the radiation of acoustic energy into the rod, we make the analogy between the contacting surface and a point force source. The acoustic radiated power by a point force source in an elastic half space is approximated with  $\Pi_r \sim \omega^2 F_d^2 / (\pi \rho V_L^3)$  [28], where  $V_L$  is the velocity of the longitudinal wave in the medium,  $F_d = m_b \omega^2 \delta_d$  is the dynamic force from the dynamic displacement  $\delta_d$ , the pulsation  $\omega$  corresponds here to the resonance frequency  $f_0^{Hertz}$ . The Q factor of the radiation losses  $Q_r$  is estimated as the ratio of the kinetic energy of the bead  $E_k \sim m_b \omega^2 \delta_d^2/2$  to the acoustic radiated power, i.e.,  $Q_r \sim \omega E_k/\Pi_r \propto \pi \rho V_i^3/(2\omega^3 m_b) \sim 10^4$  in our case. This estimation indicates that the acoustic radiation losses are very small. The acoustic radiation can not always be neglected due to the frequency dependence of Qr. For instance, in an experimental study of

the dynamics of the elastic contact between a single metallic nanoparticle and a substrate, it has been suggested that important losses can be attributed to acoustic leakage from the nanoparticle to the substrate [29].

Among the models of viscoelastic contact between two spheres, we have selected here the model developed in Refs. [25–27], where a viscous stress proportional to the strain rate [30] is introduced into the analysis of the contact problem. The viscoelastic description corresponds therefore to a Kelvin-Voigt model. The dissipation due to viscoelasticity adds a dissipative part in the normal force which is written [26,27]

$$F = \frac{4}{3}E^*R^{1/2}\left(\delta^{3/2} + \frac{3}{2}A\delta^{1/2}\frac{\partial\delta}{\partial t}\right),\tag{8}$$

with

$$A = \frac{1}{E} \frac{1+\nu}{1-\nu} \left[ \frac{4}{3} \eta_1 (1-\nu+\nu^2) + \eta_2 (1-2\nu)^2 \right],\tag{9}$$

and where the two beads (or the bead and the rod in the present case) are constituted of the same viscoelastic material with a Young's modulus *E*, a Poisson's ratio v and two bulk viscous constants  $\eta_{1,2}$ . Due to the lack of information on the dissipative coefficients  $\eta_{1,2}$ , the viscous bulk constants are considered as fitting parameters, in comparison to the experimental results, see Sec. 6, with  $\eta_1 = \eta_2 \equiv \eta$ . The definition of the parameter *A* changes following the references. The one given in Ref. [26] has been corrected in Ref. [31] and has been recalculated in Ref. [27]. The definition in this latter reference is used here, being the most recent definition. The geometry of the problem of the contact between two spheres induces, in addition to the nonlinear force-displacement relation, a displacement-dependent dissipative force. From this nonlinear model, the dependence of the restitution coefficient  $e_n$  on the impact velocity  $v_0$  can be predicted and compared to experimental measurements. This dependence is derived in Ref. [9] using a general nonlinear spring dash-pot model. This derivation is reproduced here. The general form of the elastic force is

$$f^{el} \propto \delta^{1+\alpha},\tag{10}$$

and the general form of the viscoelastic force is

$$f^{\rm vis} \propto \frac{\partial \delta}{\partial t} \delta^{\xi_0} \left( \frac{\partial \delta/\partial t}{\partial \delta_{\rm v}/\partial t} \right)^{\xi_1},\tag{11}$$

where  $\partial \delta_v / \partial t$  is a typical velocity scale. The velocity dependence of the restitution coefficient is then [9]

$$1 - e_n \propto v_0^{[2(\xi_0 + \xi_1) - \alpha(1 - \xi_1)]/(2 + \alpha)}.$$
(12)

From experimental measurements, the exponent in Eq. (12) is found to be equal to 1/5 [8,9], i.e.,

$$\frac{2(\xi_0 + \xi_1) - \alpha(1 - \xi_1)}{2 + \alpha} = \frac{1}{5},$$
(13)

which is the case for the viscoelastic model in Eq. (8) demonstrating the agreement between this model and experiments on collision of particles.

#### 4.3. Adhesion

At the contact level, an adhesion can appear due to the interatomic forces. Many models have been developed, among which are the Dejarguin-Muller-Toporov model (DMT) [32], the Johnson-Kendall-Robert model (JKR) [33] and the Maugis-Dugdale model (MD) [34]; each of them being relevant depending on the problem under study. The prevalence of one of the model can be determined with the adhesion map of Johnson et al. [35]. The adhesion map is governed by two parameters. The first one is the Muller's parameter  $\mu$  defined with [36,37]

$$\mu = \frac{32}{3\pi z_0} \left(\frac{\gamma^2 R}{E^{*2}}\right)^{1/3},\tag{14}$$

where  $\gamma$  is the surface energy,  $z_0$  is the equilibrium separation. Similarly, the Tabor's parameter  $\lambda$  [38] can be used and is defined as  $\lambda = 1.16\mu$ . It should be noticed that the work of adhesion w (commonly denoted as surface energies  $\Delta \gamma = \gamma_1 + \gamma_2 - \gamma_{12}$ ) is often used instead of the surface energy  $\gamma$ . When the two contact surfaces are made of the same material, we have  $w = 2\gamma$ . The second parameter is the ratio of the external applied force to the adhesion force. If the external applied force F is large enough compared to the adhesion force  $F_a$  i.e.,  $F_a/F < 0.05$ , then the Hertz model can be applied [35]. Considering a small external force compared to the adhesion force and if  $\mu < 1$ , then the DMT model applies. If  $\mu > 5$ , then the JKR model applies. If  $1 < \mu < 5$ , then the MD model applies.

Here, considering the surface energy  $\gamma$  and the equilibrium separation  $z_0$  of stainless steel,  $\mu = 57-61$  and the JKR model applies since the static external force  $F_0$  is not sufficiently large compared to the adhesion force (pull-off force)  $F_a = 3\pi\gamma R$ , i.e.,



**Fig. 3.** Force-overlap  $F - \delta$  relation from the Hertz model (red curve) and the JKR model (blue dashed curve). The static overlaps are marked by circle for both models. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

 $F_a/F_0 = 0.3 > 0.05$ . The relation between the external force *F* and the overlap  $\delta$  is not explicit but is implicit through the contact radius *a*. The contact radius is controlled by [37]

$$a^{3} = \frac{3R}{4E^{*}}[F + 2 + F_{c} + (4FF_{c} + 4F_{c})^{1/2}].$$
(15)

The overlap  $\delta$  and the force *F* are

$$\delta = \frac{\pi a}{2E^*} (p_0 + 2p'_0) \text{ and } F = \pi a^2 \left(\frac{2p_0}{3} + 2p'_0\right),\tag{16}$$

with

$$p_0 = \frac{2aE^*}{\pi R} \text{ and } p'_0 = -\left(\frac{4\gamma E^*}{\pi a}\right)^{1/2}.$$
 (17)

The linear stiffness of the contact is written [37]

$$K_0^{jkr} = 2E^* a[3 - 3(a_c/a)^{3/2}] / [3 - (a_c/a)^{3/2}],$$
(18)

where  $a_c^3 = 3F_c R/(4E^*)$ . The static overlap predicted by the JKR model is  $\delta_0^{jkr} = 67 - 62$  nm, the resonance frequency is  $f_{res}^{jkr} = 2.74 - 2.86$  kHz. The force displacement relation of the Hertz and JKR model are plotted in Fig. 3.

### 4.4. Roughness

The surface roughness of the beads can also affect the force-displacement relation. By considering the contact between two spheres with fractal rough surfaces, the following relation between the stiffness and the applied force is found [39]:

$$K_N \propto F^{1/(H+1)},\tag{19}$$

where  $H(0 < H \le 2)$  is the Hurst exponent that characterizes the roughness of the surfaces. For H = 2, the Hertz law is found. This leads to a force-displacement relation of the form

$$F \propto \delta^n = \delta^{(1+H)/H}.$$
(20)

Therefore,  $3/2 \le n < +\infty$  depending on the value of *H*. Following another approach, previous studies indicate that the values of the exponent *n* can be modified by the presence of an oxide layer, whose elastic modulus is much lower than the one of the initial material, at the surface of the sphere and forms a soft shell. The soft shell model gives n = 2 [40].

#### 5. Resonance frequency shift

Using the Hertz or JKR models, the linear resonance frequency is predicted to be below 3 kHz, which is far below the first resonance of the rod measured at  $f_0^{rod} \simeq 8.5$  kHz. This experimental system can therefore be reduced to the simplest case of single mass-spring system, the mass being the mass of the bead  $m_b$ , the spring being the contact between the bead and the rod. As stated before, the stiffness of the contact is found by deriving the nonlinear force-displacement relation  $K_N = \partial F/\partial \delta$  and thus

depends on the overlap  $\delta$ , which can be decomposed into a static part  $\delta_0$  and a dynamic part due to the acoustic wave  $\delta_a \sin(\tau)$ , giving  $\delta = \delta_0 + \delta_a \sin(\tau)$ . At very low amplitude of excitation, the acoustic amplitude  $\delta_a$  is negligible against the static overlap  $\delta_0$ . The resonance frequency only depends on the static part of the overlap.

When the acoustic amplitude becomes non-negligible against the static overlap, the resonance frequency depends on the amplitude of excitation. The resonance frequency  $f^{res}$  is proportional to the square root of the ratio of the stiffness  $K_N$  of the contact to the mass  $m_b$ , i.e.,  $f^{res} = (K_N/m_b)^{1/2}/(2\pi)$ . Then, at the first order,  $\Delta f/f_0 \simeq (\Delta K_N/K_0 - \Delta m/m_b)/2$ . Since the mass of the bead  $m_b$  is constant in the case here, the resonance frequency shift  $\Delta f/f_0$  is only proportional to the relative modification of the stiffness  $\Delta f/f_0 \simeq \Delta K_N/(2K_0)$ . The relative modification of the stiffness  $\Delta K_N$  can be found by averaging the stiffness  $K_N$  over a wave period, which is written  $\Delta K_N/K_0 = \langle K_N - K_0 \rangle/K_0 = \langle \partial F/\partial \delta - K_0 \rangle/K_0$ . Finally, we obtain  $\Delta f/f_0 \simeq \langle \partial F/\partial \delta - K_0 \rangle/(2K_0)$  [13,41]. This procedure can be applied to all the contact models exposed in Sec. 4.

#### 5.1. Hertz model

Considering the force-displacement relation in Eq. (3), we find that

$$\frac{\Delta K_N - K_0}{K_0} = \frac{2E^* (R\delta_0)^{1/2} - 2E^* (R\delta_0)^{1/2}}{2E^* (R\delta_0)^{1/2}} = \left(\frac{\delta_a \sin \tau + \delta_0}{\delta_0}\right)^{1/2} - 1.$$
(21)

Finally, the resonance frequency shift predicted by the Hertz model is found with

$$\frac{\Delta f^{hertz}}{f_0} \simeq \frac{1}{4\pi} \int_0^{2\pi} \left(\frac{\delta_a}{\delta_0} \sin \tau + 1\right)^{1/2} d\tau - \frac{1}{2},$$
(22)

which can be solved numerically.

#### 5.2. Viscoelastic contact

Considering the force-displacement relation in Eq. (8), the stiffness  $K_N$  of the contact is

$$K_{N} = \frac{\partial F(\delta_{0} + \delta_{a} \sin \tau)}{\partial \delta} = \frac{\partial \left\{ \frac{4}{3} E^{*} \sqrt{R} \left[ (\delta_{0} + \delta_{a} \sin \tau)^{3/2} + \frac{3}{2} A \omega (\delta_{0} + \delta_{a} \sin \tau)^{1/2} \delta_{a} \cos \tau \right] \right\}}{\partial \delta_{a} \sin \tau},$$
(23)

where  $\omega$  is the cyclic pulsation at the resonance. Finally, the resonance frequency shift predicted by the viscoelastic model is

$$\frac{\Delta f^{\text{vis}}}{f_0} \simeq \frac{1}{4\pi} \int_0^{2\pi} \left(\frac{\delta_a}{\delta_0} \sin \tau + 1\right)^{1/2} d\tau + \frac{A}{4\pi \delta_0^{1/2}} \int_0^{2\pi} \frac{\partial(\omega(\delta_0 + \delta_a \sin \tau)^{1/2} \delta_a \cos \tau)}{\partial \delta_a \sin \tau} d\tau - \frac{1}{2},\tag{24}$$

which can also be solved numerically. Because of the nonlinear behavior of the viscoelastic losses induced by the geometry of the problem (contact sphere-plane), the viscoelastic losses have an influence on the resonance frequency shift, which is represented by an additional term in Eq. (24) compared to the prediction in Eq. (22).

#### 5.3. Adhesion

Since there is no explicit relation between the force and the displacement in the JKR model, the prediction of the resonance frequency shift has to be performed numerically.

The resonance frequency shift predicted by the Hertz, viscoelastic and JKR models are shown in Fig. 4 as a function of the overlap  $\delta_a/\delta_0$ . From the normalization by the static overlap  $\delta_0$ , the resonance frequency shifts predicted from the Hertz and JKR models do not depend on the Young's Modulus and for the sake of simplicity, the resonance frequency shift is predicted from the viscoelastic model considering only one value of the Young's Modulus E = 210 GPa. The predictions from the viscoelastic model present a large resonance frequency shift while the predictions from the Hertz and JKR models do not substantially differ. The JKR model will therefore not be considered in the comparison with the experimental measurement of the resonance frequency shift.

#### 5.4. Surface roughness

Considering the force-displacement relation in Eq. (20), the stiffness is

$$K_N = \frac{\partial F}{\partial \delta} = nC(\delta_0 + \delta)^{n-1},\tag{25}$$

where C is a constant. The resonance frequency shift is then predicted with

$$\frac{\Delta f}{f_0} \simeq \frac{1}{4\pi} \int_0^{2\pi} \left(\frac{\delta_a}{\delta_0} \sin t + 1\right)^{n-1} dt - \frac{1}{2}.$$
(26)



**Fig. 4.** Theoretical predictions of the resonance frequency shift  $\Delta f/f_0$  as a function of the acoustic overlap  $\delta_a$  from the Hertz (red dotted curve), JKR (green continuous curve) and viscoelastic with  $\eta = 1.05$  MPas (black dashed curve) models. Theoretical predictions of the resonance frequency shift without the first order approximation, i.e.,  $\Delta f/f_0 = (\sqrt{K_N/m_b} - \sqrt{K_0/m_b})/\sqrt{K_0/m_b}$  from the viscoelastic model (gray continuous curve). The predictions from the viscoelastic model are the ones giving the largest values of resonance frequency shift, which could invalidate the first order approximation of  $\Delta f/f_0$ . The deviation between the gray continuous curve and the black dashed curve remains small, thus confirming that the first order approximation can be used. The acoustic overlap  $\delta_a$  is normalized to the static overlap  $\delta_0$  predicted by the Hertz model. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



**Fig. 5.** Maximum resonance frequency shift for  $\delta_a = \delta_0$  as a function of the exponent of the force-displacement relation predicted from Eq. (26).

The maximum frequency shift for  $\delta_a = \delta_0$  as a function of the exponent *n* is shown in Fig. 5. The frequency shift is negative if 1 < n < 2, and is positive otherwise. The minimum value predicted in this case of resonance frequency shift is around -0.05 for a value of the exponent close to the one of the Hertz model n = 3/2. As seen in Fig. 1(c), the resonance frequency is decreasing with increasing excitation amplitude. This indicates that 1 < n < 2 and that this model can predict a resonance frequency shift similar or smaller than the one predicted by the Hertz model.

# 6. Experimental results

The bead and the rod are assumed to be composed of the same material, the dissipative constant is chosen to be  $\eta = 1.05$  MPa s giving  $A = 1.1 \cdot 10^{-5}$ .

The measured linear resonance frequencies  $f_0$  of each of performed protocols can be compared to the predictions of the Hertz and the JKR models  $f_0^{Hertz}$  and  $f_0^{jkr}$ , which is shown in Fig. 6. The range of experimentally measured linear resonance frequencies is larger than the range of the combined predictions of both Hertz and JKR models. This is attributed to the irregularity of the surfaces in contact. For instance, the roughness of bead surface is 25 nm (manufacturer's data), which is not negligible compared to the static overlap predicted,  $\delta_0 = 55-67$  nm. In conclusion, these experimental results do not allow us to discriminate between those two models.

In Fig. 7, the experimental measurements of the resonance frequency shift are compared to the theoretical predictions from the Hertz and the viscoelastic models of contact. The Hertz model, as well as the JKR model and the model including the surface



Fig. 6. Comparison between the experimental linear resonance frequencies and the predictions from Hertz (yellow area) and JKR (blue area) models. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



**Fig. 7.** Resonance frequency shift  $\Delta f/f_0$  in linear scale (left) and in logscale (right) as a function of the normalized acoustic amplitude  $\delta_a/\delta_0$  in logscale. The acoustic amplitude  $\delta_a$  is the displacement measured at the top of the bead at the resonance. The static displacement  $\delta_0$  is found from the Hertz theory considering the weight of the bead. The theoretical resonance frequency shifts are predicted from the Hertz model  $\Delta f^{Hertz}/f_0$  (red dotted curve) and from the viscolelastic model  $\Delta f^{vis}/f_0$  (black dashed curve). (Left) The gray dots correspond to the measurements with increasing frequencies for the sweep. The orange dots correspond to the measurements with decreasing frequencies for the sweep. (Right) Mean value of the frequency shift (blue continuous curve) and interval of 90% of confidence (blue area). (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

roughness following the conclusions of Sec. 5, underestimate the resonance frequency shift. It should be noticed that there is no fitting parameter in the Hertz model in Eq. (22) that could allow us to find a good agreement between the Hertz model and the experimental results. In Fig. 7, the *x*-coordinate is normalized by  $\delta_0$ , which is the only contributing parameter in Eq. (22). Moreover, the disagreement between the Hertz model can also be seen on the slope of the frequency shift with the displacement amplitude in the right panel in Fig. 7. The main contribution of the Hertz model shows a dependence proportional to  $\delta_a^2$  [41], whereas the experimental results show a dependence closer to  $\delta_a^{3/2}$ , which is impossible to achieve using the Hertz model. On the other hand, the viscoelastic model shows a good agreement with the experimental results.

#### 7. Discussion on the damping parameters of the viscoelastic model

#### 7.1. Comparison with previous studies

The agreement between the experimental results and the viscoelastic model depends on the chosen value for the viscous constant  $\eta$ . In this section, the chosen value of  $\eta$  is compared to the ones found in previous comparison between theoretical predictions and experimental datas. The estimation of the parameters in this paper are based on the Brilliantov model [26,27], which is similar to the Kuwabara-Kono model, which is written as [25]

$$F^{kk} = k_n \delta^{3/2} + \gamma_n \delta^{1/2} \frac{\partial \delta}{\partial t}.$$
(27)



Fig. 8. Q factors of the linear resonance curves as a function of  $f_0^{lin}$ . The Q factors are estimated using the -3 dB method.

The Kuwabara-Kono model has been used in Ref. [10], where the constants  $k_n$  and  $\gamma_n$  have been scaled to fit previous experimental measurement of the impact restitution coefficient between two beads. In Ref. [10], the material constants for the stainless steel bead experiments are E = 193 GPa,  $\nu = 0.35$ ,  $\rho = 7830$  kg.m<sup>-3</sup> and the radii of the beads are  $r_1 = r_2 = 1.27$  cm. The stiffness constant  $k_n$  is found from  $k_n = 4E^*R^{1/2}/3 = 1.17 \cdot 10^{10}$  kg/m<sup>3/2</sup> and the fit gives the value  $\gamma_n = 3.31 \cdot 10^4$  kg.m<sup>-1/2</sup> s<sup>-1</sup>. By using the material and geometric constants given in Ref. [10] and by using  $\eta = 1.05$  MPa.s with Eqs. (8) and (9), we obtain  $\gamma_n = 7.8 \cdot 10^4$  kg.m<sup>-1/2</sup> s<sup>-1</sup>, which is of the same order as the value given in Ref. [10].

# 7.2. Q factor analysis

Using the force-displacement relation in Eq. (8) and keeping only the linear contributions, the linear equation of motion of the bead is in the form

$$m_b \frac{\partial^2 \delta_d}{\partial t^2} + 2E^* A(R\delta_0)^{1/2} \frac{\partial \delta_d}{\partial t} + 2E^* (R\delta_0)^{1/2} \delta_d = 0.$$
<sup>(28)</sup>

The Q factor of the linear oscillator is then

$$Q = \omega_0 m_b / [2E^* A (R\delta_0)^{1/2}], \tag{29}$$

with  $\eta = 1.0^5$  Mpa. s, Q = 5. At small amplitude, the measured Q factor of the reference resonance curves are 20 < Q < 100 as shown in Fig. 8. It should be noticed that no correlation appears between the resonance frequencies shown in Fig. 6 and the measured Q factors for the same resonance curves as it can be seen in Fig. 8. In Fig. 9, the experimental resonance frequency shift is compared to those predicted with  $\eta = 0.3$  MPa s, giving Q = 20 and  $\eta = 55$  kPa s, giving Q = 100. By decreasing the value of  $\eta$ , the effect of the viscous losses on the resonance frequency shift decreases as expected. For Q = 100, the predicted resonance frequency shift is close to the one predicted by the Hertz model. Here, in addition to their contribution to the nonlinear resonance frequency shift, the viscoelastic losses are the only contribution to the Q factors of the linear resonance curves. The different measured Q factors of the linear resonance as seen in Fig. 8 should importantly affect the viscoelastic contribution to the nonlinear resonance frequency shift. This is not the case in experiments since noticeable differences do not appear in the nonlinear resonance frequency shift as can be seen in Fig. 7 where all the protocols have led to similar results without any relation to their initial linear Q factors that are exposed in Fig. 8, this is in contradiction to the theoretical predictions visible in Fig. 9.

The evolution of the Q factor with the excitation amplitude cannot be estimated precisely by measuring the width of the resonance curve at half amplitude (or -3 dB method) when the resonance curve becomes asymmetric. The amplitude-dependence of the inverse Q factor  $\Delta(1/Q) = 1/Q(\delta_a) - 1/Q_{ref}$  can be found from the relation [16]

$$\Delta\left(\frac{1}{Q}\right) = \frac{1}{Q_{ref}} \left(\frac{V\delta_{ref}}{V_{ref}\delta_a} - 1\right). \tag{30}$$

where  $Q_{ref}$  is the *Q* factor of the reference curve, *V* are the excitation voltage amplitudes ( $V_{ref}$  being the voltage excitation amplitude of the reference measurement),  $\delta_a$  is the displacement amplitude measured at the resonance corresponding to the excitation voltage amplitude *V* and  $\delta_{ref}$  is the displacement amplitude measured at the resonance corresponding to the excitation voltage amplitude  $V_{ref}$ . It can be seen in Fig. 10 that the inverse *Q* factors do not show a clear trend, considering the dispersion of the data points, on the amplitude below amplitudes of displacement of the same order as  $\delta_0$ .



**Fig. 9.** Resonance frequency shift  $\Delta f/f_0$  in logscale as a function of the normalized acoustic amplitude  $\delta_a/\delta_0$  in logscale. The acoustic amplitude  $\delta_a$  is the displacement measured at the top of the bead at the resonance. The static displacement  $\delta_0$  is found from the Hertz theory considering the weight of the bead. Frequency shift predicted from the Hertz model  $\Delta f^{\text{vierz}}/f_0$  (red dotted curve) and from the viscolelastic model  $\Delta f^{\text{vier}}/f_0$  with Q=5 (black dashed curve), Q=20 (violet dashed curve) and Q=100 (green dashed curve). Mean value of the frequency shift (blue continuous curve) and interval of confidence (blue area). (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)



**Fig. 10.** Inverse *Q* factor shift  $\Delta 1/Q$  in linear scale as a function of the normalized acoustic amplitude  $\delta/\delta_0$  in logscale. The gray dots correspond to the measurements with increasing frequencies for the sweep. The orange dots correspond to the measurements with decreasing frequencies for the sweep. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

In conclusion of this section, the analysis shows us that the viscoelastic model cannot capture entirely the experimental results. The viscoelastic model enables to reproduce either the Q factor of the linear resonances (using  $\eta = 55-330$  kPa s) or the nonlinear resonance frequency shift (using  $\eta = 1.05$  MPa s), but not both of them at the same time. This observation highlights the necessity to refine the model for the viscoelastic losses. In the same manner, the absence of correlation between the linear resonance frequency and the Q factor as well as the dispersion in the measured Q factor values indicate that there are additional dependencies for the Q factor. These latter could come from the roughness of the surfaces or the humidity of the surrounding air, leading to an additional layer of viscous fluid at the surface of the bead. For instance, it has already been observed that the presence of a viscous fluid at the surface of the beads induces a hardening of the contacts and increases the dissipation [42,43]. A control of the humidity in the surrounding air seems relevant for future experiments in order to test this assumption.

#### 8. Summary

In this study, one bead in contact with an elastic solid is considered as a nonlinear isolated elastic resonator. The nonlinear effect of resonance frequency shift, i.e., amplitude-dependent resonance frequency, is analyzed and the experimental results are compared to the theoretical predictions of various contact models. The conclusion is that the classical Hertzian model, the JKR model with adhesion and a model including the roughness of the surfaces in contact are unable to capture the experimental

nonlinear behavior. By taking into account viscoelastic losses at the level of the contact, the theoretical predictions are able to reproduce the experimental results. However, the viscoelastic model can reproduce either the measured linear *Q* factor or the nonlinear resonance frequency shift, but not both of them at the same time. This highlights the importance of the dissipative forces in the linear and nonlinear behavior of the granular contact, but further works are needed. Interestingly, we found that the nonlinear resonance frequency shift is a robust feature of the bead-rod contact problem unlike the linear *Q* factor and even the linear resonance frequency. This study is a necessary step towards the design of granular-based metamaterials for the purpose of elastic wave control. We believe this study could also guide future research for the investigation of the dynamics of inter-grain contacts with losses.

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